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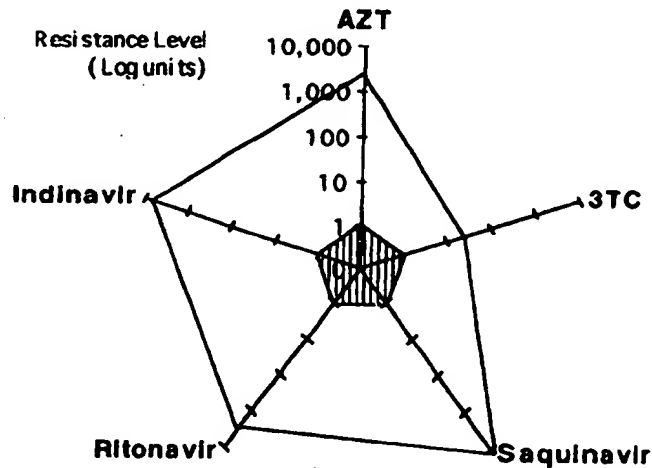
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(54) Title: METHOD OF MANAGING THE CHEMOTHERAPY OF PATIENTS WHO ARE HIV POSITIVE BASED ON THE PHENOTYPIC DRUG SENSITIVITY OF HUMAN HIV STRAINS

## (57) Abstract

A method of managing HIV chemotherapy of patients who are HIV positive comprises transfecting a cell line susceptible to infection by HIV with a sequence, preferably that coding for RT and protease, from the pol gene of HIV obtained from a patient and a HIV-DNA construct from which the sequence has been deleted, culturing the transfected cells so as to create a stock of chimeric viruses, assessing the phenotypic sensitivity of the chimeric viruses to an inhibitor of the enzyme encoded by the pol gene of HIV and assigning a value thereto, constructing a data set comprising the value for chimeric virus sensitivity and the corresponding value for a

chimeric wild-type strain of HIV, repeating the sensitivity assessment for at least two further inhibitors and thereby constructing at least three such data sets in total, representing the data sets in two-dimensional or three-dimensional graphical form such that the difference between the chimeric and wild-type sensitivities in the case of each data set provides a visual measure of the resistance of the chimeric stock to treatment by the inhibitor in question, and selecting the optimum inhibitor(s) on the basis of the graphical representation of the resistances so measured. The method yields phenotypic information on individual HIV infected patients on a large scale, economically and rapidly. The method is applicable to all currently available chemotherapeutic regimens and it is expected to be equally applicable to future chemotherapeutic regimens.



Maximal Measurable Resistance  
Patient Sample Actual Resistance  
Reference Strain IIIB

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## Description

### Method of managing the chemotherapy of patients who are HIV positive based on the phenotypic drug sensitivity of human HIV strains

#### Technical Field

5           The present invention relates to a method of managing the chemotherapy of patients who are HIV positive, as well as a clinical management device for use by physicians treating such patients based on the phenotypic drug sensitivity of human HIV strains for inhibitors of one or more enzymes of the pol gene of HIV, as well as a method for  
10 simultaneously determining the phenotypic drug sensitivity of two or more of the enzymes of the pol gene of HIV to inhibitors thereof.

#### Background Art

          To date, several chemotherapeutic regimens have been developed for treating HIV infected patients. Certain of these regimens have been  
15 approved for clinical use, and others are the subject of on-going clinical trials. It can be assumed that the number of approved chemotherapeutic regimens will increase steadily in the near future. Increasingly, combination therapy or multiple drug treatment regimens are being used because of the development of drug-resistant HIV variants during  
20 therapy. Although these chemotherapeutic regimens have been shown to exert an effect on virological (viral load), immunological and clinical parameters of HIV disease, practical experience teaches that these effects are transient. In particular, one finds that the HIV strains infecting an individual patient after a while start to display reduced sensitivity to the  
25 drug or drug combination with which said patient is being treated. The loss of efficacy of the chemotherapy can vary from patient to patient, from drug to drug, or from drug combination to drug combination. It is well established that the loss of efficacy to a particular type of chemotherapy can be associated with a genotypic pattern of amino acid  
30 changes in the genome of the HIV strains infecting the patient. This probably renders these HIV strains less susceptible to the chemotherapy.

As an HIV infected patient is exposed to several chemotherapeutic regimens over extended periods of time, more complex patterns of amino acid changes in the genome of infecting HIV strains occur which for the present defeat a rational approach to the further treatment of the infected patient. As implied in the previous explanation, one can routinely determine the genotypic changes occurring in HIV strains exposed to different chemotherapeutic regimens involving single or multiple anti-HIV drugs, but thus far it has proven very difficult to derive from these data information enabling a physician in charge of prescribing the chemotherapy whether or not it is sensible to initiate or continue a particular chemotherapeutic regimen. In other words, the genotypic information which is available on a limited scale, cannot routinely be translated into phenotypic information enabling the responsible physician to make the crucial decision as to which chemotherapy a patient should preferably follow. The problem also exists for drug-naïve patients who become infected by drug-resistant HIV strains.

Viral load monitoring is becoming a routine aspect of HIV care. However, viral load number alone cannot be used as a basis for deciding which drugs to use alone or in combination.

Combination therapy is becoming increasingly the chemotherapeutic regimen of choice. When a person using a combination of drugs begins to experience drug failure, it is impossible to know with certainty which of the drugs in the combination is no longer active. One cannot simply replace all of the drugs, because of the limited number of drugs currently available. Furthermore, if one replaces an entire chemotherapeutic regimen, one may discard one or more drugs which are active for that particular patient. Furthermore, it is possible for viruses which display resistance to a particular inhibitor to also display varying degrees of cross-resistance to other inhibitors.

Ideally, therefore, every time a person has a viral load test and a viral load increase is detected, a drug sensitivity/resistance test should

also be carried out. Until effective curative therapy is developed, management of HIV disease will require such testing.

5       Currently there does exist a phenotyping method which is based on virus isolation from plasma in the presence of donor peripheral blood mononuclear cells (PBMCs), and subsequent phenotyping in said cells (Japour, A.J., *et al.* (1993) Antimicrobial Agents and Chemotherapy; Vol. 37, No. 5, p1095-1101). This co-cultivation method, which is advocated by the AIDS Clinical Trial Group (ACTG) - particularly for phenotyping AZT (synonymous herein with zidovudine/Retrovir  
10       (Retrovir is a Trade Mark)) resistance, is time-consuming, costly and too complex to be used on a routine basis.

      A phenotypic recombinant virus assay for assessment of drug susceptibility of HIV Type 1 isolates to reverse transcriptase (RT) inhibitors has been developed by Kellam, P. and Larder, B.A.  
15       (Antimicrobial Agents and Chemotherapy (1994) Vol. 38, No. 1, p23-30). This procedure allows the generation of viable virus by homologous recombination of a PCR-derived pool of RT coding sequences into an RT-deleted, noninfectious proviral clone, pHIV $\Delta$ RTBstEII. Analysis of two patients during the course of  
20       zidovudine therapy showed that this approach produced viruses which accurately exhibited the same genotype and phenotype as that of the original infected PBL DNA. However, the procedure involves isolation of the patient virus by co-cultivation of patient plasma or patient PBMCs with donor PBMCs. Such prior cultivation of virus may distort the  
25       original virus composition. Furthermore, this method, although allowing one to determine the sensitivity of the isolates to various inhibitors, does not provide the physician with information as to whether to continue with the existing chemotherapeutic regimen or to alter the therapy.

      Also when one enzyme only of the pol gene is being studied, the  
30       method does not readily lend itself to routine phenotypic assessment of combination therapy which conventionally involves the use of one protease and 2 RT inhibitors.

The nested PCR (polymerase chain reaction) procedure used in the recombinant virus assay can lead to a situation where the recombinant virus does not truly reflect the situation with the HIV strains infecting the patient under investigation. This problem resides in DNA sequence homology and the minimum amount of homology required for homologous recombination in mammalian cells (C. Rubnitz, J. and Subramini, S. (1984) Molecular and Cellular Biology Vol. 4, No. 11, p2253-2258). Accordingly, any phenotypic assay based on the recombinant virus approach should endeavour to ensure that as much as possible of the patient material is amplified and that there is maximum recombination.

Thus, the RNA extraction and nested PCR procedures employed should ensure that the viral genetic material is amplified such that the amplified material maximally reflects the viral genetic diversity in the patient being investigated.

In current clinical practice there is therefore a hard-felt need (a) to determine rapidly and on a routine basis the phenotypic drug sensitivity of HIV strains infecting a particular patient, (b) to process the thus obtained data into easily understood information, and (c) to initiate, continue or adjust on the basis of said information the chemotherapy prescribed for said particular patients.

#### Disclosure of the Invention

According to a first aspect of the invention there is provided a method of managing HIV chemotherapy of patients who are HIV positive, which comprises transfecting a cell line susceptible to infection by HIV with a sequence from the pol gene of HIV obtained from a patient and a HIV-DNA construct from which said sequence has been deleted, culturing said transfected cells so as to create a stock of chimeric viruses, assessing the phenotypic sensitivity of said chimeric viruses to an inhibitor of said enzyme encoded by the pol gene of HIV and assigning a value thereto, constructing a data set comprising said value for chimeric virus sensitivity and the corresponding value for a

chimeric wild-type strain of HIV, repeating the sensitivity assessment for at least two further inhibitors and thereby constructing at least three such data sets in total, representing said data sets in two dimensional or three dimensional graphical form such that the difference between the  
5 chimeric and wild-type sensitivities in the case of each data set provides a visual measure of the resistance of the chimeric stock to treatment by the inhibitor in question, and selecting the optimum inhibitor(s) on the basis of the graphical representation of the resistances so measured.

10 The method according to the invention yields phenotypic information on individual HIV infected patients on a large scale, economically and rapidly. The method is applicable to all currently available chemotherapeutic regimens and it is expected to be equally applicable to future chemotherapeutic regimens.

15 The method according to the invention provides the physician with phenotypic data on patient HIV strains which can be immediately used to determine whether a particular chemotherapeutic regimen should be initiated, continued or adjusted.

Preferably, the data sets are represented on a polygonal or quasi-circular graph comprising:

- 20 (a) a plurality of normalised axes extending radially from an origin, each axis corresponding to one data set or inhibitor or combination thereof;
- (b) the axes being normalised such that the sensitivity values for wild-type HIV for the various inhibitors are equal on  
25 each axis, the data points for wild-type HIV being optionally represented and connected to form a regular polygon whose vertices lie on the axes and whose center is defined by the origin;



- 5 (c) on each axis a data point representing the sensitivity value of the chimeric HIV stock against the inhibitor corresponding to said axis is plotted, the chimeric data points being optionally connected to form a regular or irregular polygon the shape of which represents the resistance of the chimeric stock to a range of inhibitors.

10 A polygonal or quasi-circular graph provides the advantage that the patient's resistance to a number of drugs is characterised in terms of the degree of divergence between the polygon representing the patient's chimeric HIV stock and the polygon representing the wild-type strain. The areas of the polygons will generally diverge more in some areas than in others, indicating in each case a greater or lesser degree of resistance to the inhibitor whose axis passes through the area in question.

15 Thus, the method according to the invention takes a chimeric HIV stock and provides a map of the resistance of this stock across a range of inhibitors. In this way the map or graph provides a technical characterisation of an aspect of the chimeric stock which is not obtained by conventional measurements.

20 In a preferred embodiment, the normalised axes are equiangular from one another.

Further, preferably, each axis has a logarithmic scale.

25 Further, preferably, eccentric data points in the chimeric polygon, if represented, identify inhibitors whose usefulness can be assumed to be of little or no benefit to the patient, while data points lying within, on or close outside the wild-type polygon identify inhibitors whose usefulness can be assumed to be of substantial benefit to the patient.

30 When worst case values are represented along with the chimeric and wild-type HIV, a usually irregular polygon encloses the chimeric and wild-type polygons. The meaning of the term "eccentric" as used above denotes a data point lying relatively close to the worst-case border

and relatively far from the wild-type polygon. Similarly the term "close outside the wild-type polygon" refers to relative closeness to the wild-type polygon when compared to the distance from the worst-case border.

5       The method as hereinabove defined is limited in the sense that the measurable resistance against an inhibitor is dependent on the particular range of concentrations of the inhibitor used. Also one must endeavour to reduce the effects of biological variability. Accordingly, it is desirable to obtain a value for maximum or worst-case measurable resistance where it is assumed that a given inhibitor has no effect. This  
10       concentration, e.g. 100  $\mu$ M, is generally the maximum concentration that can practically be tested, but may also be derived from e.g. pharmacological, toxicological or pharmacokinetic studies. The comparison of the resistance level of the patient under investigation and the maximum measurable resistance determines what is the significant  
15       level of resistance for the patient under investigation. The maximum measurable resistance and the actual resistance can be suitably shown on a bar graph as hereinafter described.

      In a still further preferred embodiment of the invention each of said three or more data sets further comprises a value for worst-case  
20       measurable resistance for the inhibitor in question, said worst case values being represented on said graphical representations such that the data point for the chimeric stock can be compared both to wild-type and to worst-case HIV, thereby providing an assessment of the relative value of the inhibitor in a particular case.

25       Experiments with in excess of 150 patient samples have revealed a close correlation between resistance development and therapy history as hereinafter further illustrated in the Examples. A close correlation has been found with the data generated in accordance with the invention relative to classical virus isolation and phenotyping techniques.

30       The method in accordance with the invention can thus be used for an individualised and more rational management of HIV chemotherapy. Thus, use of the method according to the invention in combination with

the proper administration of anti-HIV drugs should ultimately lead to better treatment and survival of patients infected with the HIV virus.

5 The method according to the invention has particular application where an individual patient has been receiving many different drugs and his mutation pattern is not readily interpreted by attending physicians.

According to a further aspect of the invention there is provided a method of managing HIV chemotherapy of patients who are HIV positive, which comprises the steps of:

- 10 (a) periodically assessing the phenotypic sensitivity of a patient's HIV strains by a method hereinabove described;
- (b) maintaining the chemotherapy with the selected inhibitor while the patient's HIV strains remain susceptible to the selected chemotherapy;
- 15 (c) selecting a different inhibitor if and when the susceptibility of the original inhibitor decreases.

20 According to a still further aspect of the invention there is provided a clinical management device for use in the management of chemotherapy of patients who are HIV positive, said device bearing a graphical representation of a plurality of data sets as hereinabove defined.

25 We have coined the term "Antivirogram" for the clinical management device according to the invention and this term will be used hereinafter in the specification. This device provides the physician with a clear representation of the relative changes and susceptibilities for different inhibitors which are or which may be used in the clinical management of individual HIV-infected patients.

By HIV herein is generally meant HIV-1. However, the invention is also applicable to HIV-2.

5 Preferably, the phenotypic sensitivity of said chimeric viruses to inhibitors of at least two enzymes encoded by the pol gene of HIV is simultaneously assessed.

10 In a further aspect of the invention there is provided a method of determining the phenotypic drug sensitivity of individual HIV strains in a patient to inhibitors of at least two enzymes encoded by the pol gene of HIV, which comprises transfecting a cell line susceptible to infection by HIV with a sequence from the pol gene of HIV obtained from a patient and a HIV-DNA construct from which said sequence has been deleted, culturing said transfected cells so as to create a stock of chimeric viruses and assessing the phenotypic sensitivity of said chimeric viruses to inhibitors of said enzymes encoded by the pol gene of HIV.

15 The desired sequence from the pol gene is isolated from a sample of a biological material obtained from the patient whose phenotypic drug sensitivity is being determined. A wide variety of biological materials can be used for the isolation of the desired sequence.

20 Thus, the biological material can be selected from plasma, serum or a cell-free body fluid selected from semen and vaginal fluid. Plasma is particularly preferred and is particularly advantageous relative to the use of PBMCs as used in the prior art described above.

Alternatively, the biological material can be whole blood to which an RNA stabiliser has been added.

25 In a still further embodiment, the biological material can be a solid tissue material selected from brain tissue or lymph nodal tissue, or other tissue obtained by biopsy.

As hereinafter demonstrated, when a biological material such as plasma is used in the isolation of the desired sequence, a minimal

volume of plasma can be used, typically about 100-250 $\mu$ l, more particularly of the order of 200 $\mu$ l.

Further, preferably the two enzymes selected will be selected from HIV RT, protease and integrase.

5           Viral RNA is conveniently isolated in accordance with the invention by methods known *per se*, for example the method of Boom, R. *et al.* (Journal of Clinical Microbiology (1990) Vol. 28, No. 3, p.495-503).

10           In the case of plasma, serum and cell-free body fluids, one can also use the QIAamp viral RNA kit marketed by the Qiagen group of companies.

Preferably, the cell line susceptible to infection by HIV is a CD4<sup>+</sup> T-cell line.

15           Further, preferably, the CD4<sup>+</sup> T-cell line is the MT4 cell line or the HeLa CD4<sup>+</sup> cell line.

Reverse transcription can be carried out with a commercial kit such as the GeneAmp Reverse Transcriptase Kit marketed by Perkin Elmer.

20           The desired region of the patient pol gene is preferably reverse transcribed using a specific downstream primer.

In the case where the sequence to be reverse transcribed is that coding for reverse transcriptase or reverse transcriptase and protease, the downstream primer is preferably OUT3 : 5'-CAT TGC TCT CCA ATT ACT GTG ATA TTT CTC ATG-3' (SEQ ID NO: 1).

25           In a particularly preferred embodiment a patient's HIV RT gene and HIV protease gene are reverse transcribed using the HIV-1 specific OUT 3 primer and a genetically engineered reverse transcriptase lacking

RNase H activity, such that the total RNA to be transcribed is converted to cDNA without being degraded. Such a genetically engineered reverse transcriptase, the Expand (Expand is a Trade Mark) reverse transcriptase, can be obtained from Boehringer Mannheim GmbH.

5           Expand reverse transcriptase is a RNA directed DNA polymerase. The enzyme is a genetically engineered version of the Moloney Murine Leukaemia Virus reverse transcriptase (M-MuLV-RT). Point mutation within the RNase H sequence reduces the RNase H activity to below the detectable level. Using this genetically engineered reverse transcriptase  
10 enables one to obtain higher amounts of full length cDNA transcripts.

Following reverse transcription the transcribed DNA is amplified using the technique of PCR.

Preferably, the product of reverse transcription is amplified using a nested PCR technique.

15           Preferably, in the case where the region of interest is the RT region, a nested PCR technique is used using inner and outer primers as described by Kellam, P. and Larder, B.A. (1994 *supra*). When the region of interest is that spanning the RT and protease genes, the specific primers used are preferably a combination of OUT 3/IN 3 (downstream)  
20 and RVP 5 (upstream).

The primer RVP 5 (Maschera, B., *et al.* Journal of Virology, 69, 5431-5436) has the sequence 5'-GGGAAGATCTGGCC  
TTCCTACAAGGG-3' (SEQ ID NO: 2).

25           A schematic representation of the amplification is set forth in Fig. 3 and is described in greater detail in Example 2.

The amplification of the protease cDNA actually involves a hemi-nested PCR procedure as will be apparent from Fig. 3.

The nested PCR technique has the advantage over the known simple PCR techniques in that it enables one to obtain the most specific PCR product.

5 However, to obtain an even higher fidelity and yield during PCR, one can make use of a mixture of thermostable polymerases (Barnes, W.M. (1994) Proc. Natl. Acad. Sci. U.S.A. 91, 2216-2220). Such a polymerase mixture is available from Boehringer Mannheim GmbH, namely the Expand (Expand is a Trade Mark) high fidelity PCR system. Using this system we have obtained increased sensitivity, namely a  
10 sensitivity which is ten times or greater than that obtained with a conventional PCR procedure using Taq polymerase alone.

When the region of the pol gene is that embracing the RT and protease genes, preferably the HIV-DNA construct is one from which the RT and protease genes are deleted and is the plasmid pGEMT3-  
15 ΔPRT as deposited at the Belgian Coordinated Collections of Microorganisms-BCCM LMBP-Collection on November 8, 1996 under the number LMBP3590.

However, several approaches can be adopted to generate a plasmid containing the HIV-1 provirus carrying a deletion for the  
20 protease as well as for the RT gene. One possibility is the introduction of the desired deletion by means of oligonucleotide-mediated mutagenesis. However, the procedure adopted hereinafter in Example 2 involves the generation of the desired construct by making use of specific restriction enzymes and subcloning procedures, as hereinafter  
25 described. Although the final results depend on the available restriction sites a major advantage of this procedure is that one can obtain conclusive results rapidly.

To ensure the most efficient outcome for the transfection, the PCR-product, being transfected, should ideally be purified by anion  
30 exchange spin columns in a manner known *per se*. A suitable kit is the QIAquick PCR Purification Kit marketed by the Qiagen group of companies.

Transfection can be achieved by electroporation or, alternatively, by the use of lipids, especially cationic lipids, DEAE dextran,  $\text{CaHPO}_4$ , etc.

5 In the case of lipid transfection one can avail of a PERFECT (PERFECT is a Trade Mark) transfection kit marketed by Invitrogen B.V. of Leek, the Netherlands.

Thus, for transfection an HIV-DNA construct from which the gene or genes of choice from the pol gene has/have been deleted is used in conjunction with the product obtained following amplification.

10 The construct can be the plasmid pHIV $\Delta$ RT (obtainable from the Medical Research Council (MRC)) if it is the RT gene only that is deleted. When the RT and protease genes are both deleted a suitable HIV DNA construct is the plasmid pGEMT3- $\Delta$ PRT described herein and which is a high copy vector. Such plasmids are linearised prior to  
15 transfection according to methods known *per se*.

A particular advantage of using a construct coding for more than one pol gene enzyme, for example a  $\Delta$ PRT construct, is that one is more likely to include more of the original patient material in the construct than if a single gene is used, so that the amplified material reflects to a  
20 greater extent the viral genetic diversity in the particular patient being investigated.

It will be appreciated that it is preferable that the specific primers selected for the nested PCR are located outside the body sequences of the target enzymes to be amplified and investigated. It will furthermore  
25 be appreciated that a combination of RT and protease is likely to provide better results for studying RT than RT alone, because forty more amino acids are patient borne relative to the situation with RT alone. For studying the protease, one should be aware that the first nine amino acids of the protease are still derived from the construct's (pGEMT3- $\Delta$ PRT )  
30 wild-type backbone.



When the transfection of the cells is achieved through electroporation, the parameters selected are optimized to achieve good cell growth and virus production. The electroporation can conveniently be conducted at approximately 250 $\mu$ F and 300V. Preferably, the  
5 electroporation is conducted in the presence of about 10 $\mu$ g of linearised plasmid e.g. pHIV $\Delta$ RTBstEII and about 5 $\mu$ g of amplified PCR product e.g. RT PCR product. Upon successful intracellular homologous recombination, new chimeric HIV is formed within 5 to 10 days. With  
10 known techniques typical cultivation times are 12-14 days before chimeric HIV is formed. Culture supernatant aliquots are stored at -70°C or lower temperatures.

It is readily seen that one can use the above methods for isolating and amplifying other HIV genes, e.g. the integrase gene, or more than one other HIV gene, e.g. both the RT and the integrase gene, and  
15 transfecting a CD4<sup>+</sup> T-cell with the respective integrase or RT/integrase PCR products in conjunction with an appropriate linearised HIV-DNA construct from which the relevant gene (or genes) is deleted.

The newly formed chimeric viruses are titrated and then analysed for their phenotypic sensitivity (i.e. susceptibility) to the different pol  
20 gene enzyme inhibitors, preferably in an automated cellular-based assay.

Preferably, the phenotypic drug sensitivity of the chimeric viruses and of the wild HIV strain, which is suitably a recombinant wild HIV strain, to one or more RT, protease or integrase inhibitor(s) is expressed as an inhibitory concentration (IC value).

25 The susceptibilities of the chimeric viruses and of the wild type HIV strain to one or more RT inhibitors and/or one or more protease inhibitors and/or one or more integrase inhibitors can be expressed as for example 50% or 90% inhibitory concentrations (IC<sub>50</sub> or IC<sub>90</sub> values).

30 Preferably, RT inhibitors are selected from nucleoside RT inhibitors such as AZT, ddI (didanosine/Videx (Videx is a Trade Mark), ddC (zalcitabine), 3TC (lamivudine), d4T (stavudine), non-nucleoside

RT inhibitors such as delavirdine (U 9051125 (BMAP)/Rescriptor (Rescriptor is a Trade Mark)), loviride (alpha-APA), nevirapine (B1-RG-587/Viramune (Viramune is a Trade Mark) and tivrapipe (8-Cl-TIBO(R86183)), protease inhibitors such as saquinavir, indinavir and  
5     ritonavir and integrase inhibitors such as caffeic acid phenylethyl ester (CAPE).

Suitable RT and/or protease inhibitors and/or integrase inhibitors are selected from nucleoside RT inhibitors such as AZT, ddI, ddC, 3TC, d4T, 1592U89 and the like, non-nucleoside RT inhibitors such as  
10     loviride, nevirapine, delaviridine, ateviridine, and tivrapipe (8-Cl TIBO) and the like, protease inhibitors such as saquinavir, indinavir and ritonavir and the like, and integrase inhibitors such as caffeic acid phenylethyl ester (CAPE) and HIV integrase inhibitors of the type described in WO 95/08540 and GB 2,271,566.

15     The method according to the invention comprises the step of comparing the phenotypic drug sensitivity of patient HIV strains with one or more RT inhibitors and/or one or more protease inhibitors, and/or one or more integrase inhibitors to that of a wild type HIV strain. For an easy-to-understand representation of the relative changes in  
20     susceptibility to the different drug compounds (or combinations) tested, an Antivirogram graph, is constructed.

The graph should be interpreted as follows : eccentric data points in the antivirogram identify chemotherapeutic regimens unlikely to benefit the HIV infected patient any further, whereas data points within  
25     or on the reference polygon, or only slightly beyond the reference polygon, identify chemotherapeutic regimens likely to benefit the HIV infected patient.

The methods according to the invention in combination with the administration of the correct anti-HIV drugs should ultimately lead to  
30     better treatment, improved quality of life and improved survival of HIV infected patients ; i.e. ineffective treatment (due to the presence of or

emergence of resistant HIV strains) can be prevented or halted, and effective chemotherapy can be initiated in good time.

5 The present invention also concerns a clinical management device for use by physicians treating HIV infected patients comprising an Antivirogram obtainable by the methods hereinbefore described.

### Brief Description of the Drawings

- Fig. 1 is a schematic representation of the construction of the plasmid pGEMT3- $\Delta$ PRT;
- 10 Fig. 2 is a further and complementary schematic representation of the construction of the plasmid pGEMT3- $\Delta$ PRT;
- Fig. 3 is a schematic representation of that part of the HIV-HXB2D sequence containing protease and RT genes;
- Fig. 4A-H is a complete sequence for that part of the HIV-HXB2D sequence containing protease and RT genes;
- 15 Fig. 5 is an Antivirogram for a patient harbouring 3TC resistant HIV strains as described in Example 5;
- Fig. 6 is an Antivirogram for a drug-naïve patient harbouring wild type like HIV strains as described in Example 6;
- 20 Fig. 7A is a bar graph showing relative change in drug susceptibility for the patient of Example 7;
- Fig. 7B is an Antivirogram for the patient the subject of Example 7;
- Fig. 8A is a bar graph showing relative change in drug susceptibility for the patient of Example 8;

Fig. 8B is an Antivirogram for the patient the subject of Example 8;

Fig. 9A is a bar graph showing relative change in drug susceptibility for the patient of Example 9;

5      Fig. 9B is an Antivirogram for the patient the subject of Example 9;

Fig. 10A is a bar graph showing relative change in drug susceptibility for the patient of Example 10;

10      Fig. 10B is an Antivirogram for the patient the subject of Example 10;

Fig. 11A is a bar graph showing relative change in drug susceptibility for the patient of Example 11;

Fig. 11B is an Antivirogram for the patient the subject of Example 11;

15      Fig. 12A is a bar graph showing relative change in drug susceptibility for the patient of Example 12; and

Fig. 12B is an Antivirogram for the patient the subject of Example 12.

20      The invention will be further illustrated by the following Examples.

## Modes for Carrying Out the Invention

### Example 1

#### Protocol

##### 1. Extraction and amplification of viral RNA.

5 RNA was isolated from 100µl of plasma according to the method described by Boom, R. *et al.* (1990, *supra*), and was reverse transcribed with the GeneAmp reverse transcriptase kit (Perkin Elmer) as described by the manufacturer and using an HIV-1 specific downstream primer (OUT3 : 5'-CAT TGC TCT CCA ATT ACT GTG ATA TTT CTC ATC-  
10 3'; SEQ ID NO: 1). PCR on reverse transcribed RNA was performed with inner and outer primers as described by Kellam , P. and Larder, B.A. (1994, *supra*). After chloroform extraction and centrifugation on Centricon 100 columns or centrifugation on anion-exchange spin columns (Quiagen), the isolated PCR product was ready for use in the  
15 transfection reactions.

##### 2. Production and isolation of plasmid.

Production of pHIVΔRT (MCR) plasmid was performed in *E. coli*. Plasmid DNA was isolated from overnight cultures making use of Qiagen columns as described by the manufacturer. Yield of the isolated plasmid  
20 was determined spectrophotometrically by A260/280 measurement (optical density measurement at  $\lambda = 260$  and 280 nm). About 250 µg of ultrapure plasmid DNA was obtained from 500 ml of bacterial culture. The identity of the isolated plasmid was confirmed by restriction  
analysis. Subsequently, the isolated plasmid DNA was linearised with  
25 BstEII and purified again by a classical phenol/chloroform extraction.

##### 3. Transfection of cells.

MT4 cells were subcultured at a density of 250,000 cells/ml before transfection (exponential growth phase). Cells were pelleted and

resuspended in phosphate buffered saline (PBS) at a concentration of  $3.1 \times 10^6$  cells/ml. A 0.8 ml portion ( $2.5 \times 10^6$  cells/ml) was used for each transfection. Transfection was performed with the Bio-Rad Gene pulser making use of 0.4 cm electrode cuvettes. Cells were electroporated in the presence of 10 µg of linearised pHIVΔRT plasmid and approximately 5 µg of RT PCR product at 250 µF and 300 V, followed by a 30-min incubation at room temperature. Subsequently, 10 ml of fresh culture medium was added to the cell suspension and incubation was performed at 37°C in a humidified atmosphere of 5% CO<sub>2</sub>.

#### 4. Culture and follow-up of transfected cells.

During 7 to 10 days following the transfection, cells were monitored for the appearance of cytopathogenic effect (CPE). In the absence thereof, cells were subcultured in different flasks. Subsequently, culture supernatants of transfected cells were used to create a stock of recombinant virus and stored in 1.5 ml aliquots at -70°C.

#### 5. Analysis of recombinant virus from patient viral RNA.

After titration of the new viruses, the stocks were used for antiviral experiments in the presence of serial dilutions of different HIV inhibitors. Titres of the harvested supernatants were determined by limited serial dilution titration of virus in MT4 cells.

Viruses with a useful titre were used in antiviral experiments. For this purpose, 96-well microtitre plates were filled with 100 µl of complete culture medium. Subsequently, stock solutions of compounds were added in 25 µl volumes to series of duplicate wells. HIV- and mock-infected cell samples were included for each drug (or drug combination). Exponentially growing MT4 cells were then transferred to the microtitre plates at a density of 150,000 cells/ml. The cell cultures were then incubated at 37°C in a humidified atmosphere of 5% CO<sub>2</sub>. Five days after infection, the viability of the mock- and HIV-infected cells was examined spectrophotometrically by the MTT method (Pauwels, R. *et al.* - J. Virol. Meth. (1988), 20 : 309-321) as described in Section 6 below.

## 6. MTT assay.

To each well of the microtiter plates, 20µl of a solution of MTT (7.5 mg/ml in PBS) was added. The plates were further incubated at 37°C for 1 h. Then, 150µl of medium was removed without disturbing the MT4 cell clusters containing the formazan crystals. Solubilization of the formazan crystals was achieved by adding 100µl 5% Triton X-100 in acidified isopropanol (5 ml concentrated HCl per litre solvent). Complete dissolution of the formazan crystals was obtained after the plates had been placed on a plate shaker for 10 min. Finally the absorbances were read at two wavelengths (540 and 690 nm). From these optical density (OD) data, 50% inhibitory (IC<sub>50</sub>) and 50% cytotoxic (CC<sub>50</sub>) concentrations were derived.

### Example 2

#### Construction of a pHIVΔRTBstEII-variant with deletion of the HIV-1 protease and reverse transcriptase gene.

The protocol described in Example 1 was repeated, except that the sequence of the HIV pol gene of interest was that coding for RT and protease and the construct prepared was pGEMT3-ΔPRT as described below. Other modifications relative to the procedure set out in Example 1 are set out below.

For amplification of viral RNA, reverse transcription from RNA to DNA was again carried out with the OUT3 primer. However, for the nested PCR procedure the primers used are as shown in Fig. 3. Thus, it will be observed that the nested PCR procedure uses as outer primers RVP5 and OUT3 and as inner primers RVP5 and IN3. Thus, this nested procedure is, in effect a hemi-nested PCR procedure.

#### Production and isolation of pGEMT3-ΔPRT.

The final pGEMT3-ΔPRT construct is a derivative of pGEM9-Zf(-) (Promega).

In short, the pGEMT3-ΔPRT construct is built up by introducing the desired insert HIV-HXB2 (a protease and reverse transcriptase-deleted proviral HIV-1 clone, including flanking human sequences) into the XbaI restriction site of the vector pGEM9-Zf(-). The proviral genome has been deleted from the AhdI site within the protease gene (surrounding amino acid 9) to the BstEII site of the pHIVΔRTBstEII construct (MRC Repository reference : ADB231). At the junction of the ΔProRT deletion SmaI and BstEII sites are located which can be used for linearisation of the proviral construct prior to transfection. The construction of pGEMT3-ΔPRT is schematically represented in Figs 1 and 2. The yield of pGEMT3-ΔPRT was about 1mg out of 500ml bacterial culture.

As indicated above, the plasmid pGEMT3-ΔPRT was deposited at the Belgian Coordinated Collections of Microorganisms-BCCM LMBP-Collection on November 8, 1996 under the number LMBP3590.

It was not expected that the introduction of the proviral genome into another vector (pGEM9-Zf(-) instead of pIB120) would cause major problems. pIB120, a derivative of pEMBL8(-) (according to information provided by Kodak Scientific Imaging Systems), and pGEM9-ZF(-) are similar vectors. Nevertheless the proviral vector pIB120HIV may be unstable in *recA*<sup>+</sup> *E. coli* host cells (Maschera, B., *et al.* J. Virol. (1995) 69, 5431-5436. Therefore the stability of the pGEMT3-ΔPRT construct should be verified after every new preparation of plasmid.

#### HIV-HXB2 sequence:

The region of interest within the HIV-HXB2D sequence (nucleotide 1800 to 4400) is represented in Fig. 3 (schematically) and Fig. 4 (complete sequence). The location of several genes, restriction sites, primers and deletions (ΔPro, ΔRT, ΔProRT) are also indicated.

The sequence of HIV-1 (isolate HXB2, reference genome, 9718bp) was obtained from the National Center for Biotechnology Information



(NCBI), National Library of Medicine, National Institutes of Health via the ENTREZ Document Retrieval System.

Genbank name: HIVHXB2CG

Genbank Accession No: Ø3455

5 NCBI Seq.ID No: 327742

Regions of recombination:

10 In combination with RT-PCR fragments generated by RVP5 and OUT3/IN3 primers, the pGEMT3-ΔPRT vector can be used to transfect MT4 cells as described in Example 1, Section 3. The region for recombination at the 5'-end of ΔProRT contains 188 nucleotides. The region for recombination at the 3'-end of ΔProRT is similar to the one described earlier (Kellam, P. and Larder, B.A. (1994) *supra*) and contains 130 nucleotides.

15 The length of these regions for recombination is not unimportant. Previous data (Bandyopadhyay, P.K. *et al.* Proc. Natl. Acad. Sci. U.S.A., (1984) 81, 3476-3480; Rubnitz, J. and Subramani, S. (1984) *supra*) demonstrate that a 10-fold reduction in recombination frequency may occur when sequence homology is reduced from 214 to 163 base pairs. Furthermore, sufficient recombination events should occur within the  
20 electroporated cells to ensure that the generated viral phenotype is a reliable reflection of the quasi-species present in the treated HIV-positive patient. Optimisation of recombinant events can first be achieved by adjusting the ratio of linearised proviral vector to RT-PCR fragment that is used for electroporation of the target cells. The standard  
25 method therefore, with typical results of outcome, has previously been described by Kellam, P. and Larder, B.A. (1994 *supra* ). As a consequence, it was decided to increase the initial input of about 2μg of PCR product (with 10μg of vector) to about 5μg or more. The result thereof was reflected in a faster appearance of visible virus growth  
30 (cytopathogenic effect) in the culture of transfected cells.

Another option for optimisation of recombination events would be the design of primers resulting in longer recombination sequences.

Nevertheless, the real input in the transfection reaction always depends on the yield after PCR. Some samples have a high yield and as a result there will be a higher input of amplified material in the transfection reaction (with better results on efficiency of recombination). However, despite a lower recombination efficiency, samples having a low yield can also be transfected and will result in viable virus with a reliable reflection of the virus population.

10

### Example 3

#### Alternative primers for RT-PCR of the ProRT sequence:

New primers (A-D) have been designed relative to those used in Example 2 and should result in longer recombination sequences at both 5' and 3' end of the ProRT region. Two primers were designed at both the 5' and 3' end of the respective region to allow nested PCR. As indicated in Figs. 3 and 4 the direct repeat present at the 5' end of the ProRT region was taken into account when designing the respective primers. The new primers are as follows:

- 20 A PRTO-5 : 5'-GCCCCTAGGA-AAAAGGGCTG-TTGG (SEQ ID NO: 3)  
 B PRTI-5 : 5'-TGAAAGATTG-TACTGAGAGA-CAGG (SEQ ID NO: 4)  
 C PRTI-3 : 5'-GATATTTCTC-ATGTTTCATCT-TGGG (SEQ ID NO: 5)  
 D PRTO-3 : 5'-AGGTGGCAGG-TTAAAATCAC-TAGC (SEQ ID NO: 6)

### Example 4

#### Construction of an alternative $\Delta$ ProRT vector:

25

As mentioned above, construction of an alternative ProRT deleted vector can be achieved by oligonucleotide-mediated mutagenesis. However, it is also possible to enlarge the ProRT deletion from the current 3'-end to the next KpnI site in the RT gene (40 base pairs further

downstream). Ligation of a Klenow-treated KpnI site to a Klenow-treated BstEII site will restore the initial BstEII recognition sequence. As such, this alternative vector behaves similarly to the pGMT3-ΔPRT vector described in Example 2, but has a slightly larger RT deletion.

5

### Example 5

An HIV infected patient who received AZT from December 1989 until an undocumented later date, and switched to a combined chemotherapy of AZT + 3TC (1:1) from February 1994 until October 1995 donated plasma whose susceptibility to a number of RT inhibitors was determined according to the above described protocol of Example 1. Recombinant wild type HIV strain recIIIB was used in said protocol as a reference HIV virus. Table 1 shows the IC<sub>50</sub> values (μM) measured and the ratio of said values. The Antivirogram is shown in Fig. 5.

10

Table 1

Drug	Anti-HIV-1 activity IC <sub>50</sub> (μM)				
	Exp. 1	Exp. 2	Mean (1)	recIIIB ref (2)	ratio (1)/(2)
loviride	0.1	0.12	0.11	0.05	2
tivirapine	0.019	0.018	0.019	0.01	1.5
AZT	0.001	0.002	0.002	0.004	0.4
3TC	31.6	100	65.8	0.56	118
d4T	0.07	0.49	0.06	0.12	0.5
ddI	2.0	0.8	1.4	2.83	0.5
ddC	0.2	0.2	0.2	0.38	0.5
AZT+3TC (1:1)	0.001	0.001	0.001	0.002	0.5

15

From these data, one can determine that monotherapy with 3TC is unlikely to benefit this particular patient. Combined therapy of AZT + 3TC (the current therapy), however, is still likely to exert a positive effect.

Example 6

5 A drug-naive HIV infected patient donated plasma whose susceptibility to a number of RT inhibitors was determined according to the above described protocol of Example 1. Recombinant wild type HIV strain recIIIB was used in said protocol as a reference HIV virus. Table 2 shows the IC<sub>50</sub> values (μM) measured and the ratio of said values. An Antivirogram was prepared and shown in Fig. 6.

Table 2

Drug	Anti-HIV-1 activity IC <sub>50</sub> (μM)				
	Exp. 1	Exp. 2	Mean (1)	recIIIB ref (2)	ratio (1)/(2)
3TC	1.81	2.02	1.91	3.08	1
ddI	3.07	4.47	3.77	8.58	0.4
ddC	1.45	1.47	1.46	2.21	1
AZT	0.04	0.05	0.05	0.06	1
d4T	1.31	0.97	1.14	1.74	1
AZT+3TC (1:1)	0.05	0.04	0.05	0.02	3
DDC+D4T (1:1)	0.62	0.44	0.53	0.77	1
3TC+d4T (1:1)	0.42	0.44	0.43	1.11	0.4

10

From these data one can determine that the patient is infected with HIV strains closely resembling the wild type HIV. None of the drug regimens is to be excluded, so chemotherapy can be initiated with a drug such as AZT having a positive track record.

15

Example 7

A drug-naive HIV-infected patient donated plasma whose susceptibility to a number of RT inhibitors was determined according to the protocol set out in Example 1. Recombinant wild type HIV strain

recIIIB was used as a reference HIV virus. Table 3 shows the IC<sub>50</sub> values (μM) measured and the ratio of said values. A bar graph showing relative change in drug susceptibility is shown in Fig. 7A. An Antivirogram was also prepared and is shown in Fig. 7B.

5

Table 3

Drug	Anti-HIV-1 activity IC <sub>50</sub> (μM)				
	Exp. 1	Exp. 2	Mean (1)	recIIIB ref (2)	ratio (1)/(2)
AZT	0.052	0.050	0.051	0.023	2
3TC	2.173	ND	2.173	1.381	2
ddI	0.475	0.429	0.452	0.648	0.7
ddC	1.042	1.389	1.216	1.616	0.8
d4T	1.142	1.657	1.399	1.368	1
loviride	0.035	0.025	0.030	0.024	1
tivirapine	0.042	0.049	0.046	0.021	2

From these data one can determine that the patient is infected with a HIV strain closely resembling the wild type HIV. None of the drug regimens is to be excluded, so chemotherapy can be initiated with a drug such as AZT, 3TC or others having a positive track record.

10

Example 8

An HIV-infected patient with a therapy history including AZT, 3TC and loviride donated plasma whose susceptibility to a number of RT inhibitors was determined according to the protocol set out in Example 1. Recombinant wild type HIV strain recIIIB was used as a reference HIV virus. Table 4 shows the IC<sub>50</sub> values (μM) measured and the ratio of said values. A bar graph showing relative change in drug susceptibility is shown in Fig. 8A. An Antivirogram was also prepared and is shown in Fig. 8B.

15

Table 4

Drug	Anti-HIV-1 activity				
	IC <sub>50</sub> (μM)				
	Exp. 1	Exp. 2	Mean (1)	recIIIB ref (2)	ratio (1)/(2)
AZT	18.264	22.251	20.257	0.084	241
3TC	> 100.000	> 100.000	> 100.000	6.304	> 16
ddI	26.861	15.435	21.148	1.586	13
ddC	9.290	8.506	8.898	1.931	5
d4T	7.500	7.097	7.298	5.465	1
loviride	> 100.000	> 100.000	> 100.000	0.037	> 2717
tivirapine	1.626	1.604	1.615	0.021	78

From this data one can determine that the patient is infected with a HIV strain displaying a decreased susceptibility towards most of the nucleoside and non-nucleoside antiretroviral drugs examined. Therapy can still be initiated with D4T or DDC. The possibility of including protease-inhibitors into the therapy can be considered.

Example 9

An HIV-infected patient with a therapy history including multiple nucleoside analogue RT-inhibitors donated plasma whose susceptibility to a number of RT inhibitors was determined according to the protocol set out in Example 1. Recombinant wild type HIV strain recIIIB was used as a reference HIV virus. Table 5 shows the IC<sub>50</sub> values (μM) measured and the ratio of said values. A bar graph showing relative change in drug susceptibility is shown in Fig. 9A. An Antivirogram was also prepared and is shown in Fig. 9B.

Table 5

Drug	Anti-HIV-1 activity IC <sub>50</sub> (μM)				
	Exp. 1	Exp. 2	Mean (1)	recIIIB ref (2)	ratio (1)/(2)
AZT	> 100.000	ND	> 100.000	0.291	> 344
3TC	> 100.000	> 100.000	> 100.000	16.670	> 6
ddI	> 100.000	> 100.000	> 100.000	4.757	> 21
ddC	60.079	73.049	66.564	3.444	19
d4T	> 100.000	> 100.000	> 100.000	12.030	> 8
loviride	0.064	0.058	0.061	0.065	0.9
tivirapine	0.052	0.043	0.048	0.042	1

From this data one can determine that the patient is infected with a HIV strain displaying a decreased susceptibility towards all nucleoside analogue antiretroviral drugs. Non-nucleoside antiretroviral drugs should not be excluded from therapy. Here also, the possibility of including protease inhibitors into the therapy can be considered.

Example 10

A drug-naïve HIV-infected patient donated plasma whose susceptibility to a number of RT inhibitors and protease inhibitors was determined according to the protocol set out in Example 1. Recombinant wild type HIV strain recIIIB was used as a reference HIV virus. Table 6 shows the IC<sub>50</sub> values (μM) measured and the ratio of said values. A bar graph showing relative change in drug susceptibility is shown in Fig. 10A. An Antivirogram was also prepared and is shown in Fig. 10B.

Table 6

Drug	Anti-HIV-1 activity IC <sub>50</sub> (μM)				
	Exp. 1	Exp. 2	Mean (1)	recIIIB ref (2)	ratio(1)/(2)
AZT	0.019	0.019	0.019	0.041	0.5
3TC	1.525	1.718	1.622	4.608	0.4
saquinavir	0.003	0.003	0.003	0.006	0.4
ritonavir	0.022	0.017	0.019	0.033	0.6
indinavir	0.013	0.013	0.013	0.016	0.8

From these data one can determine that the patient is infected with HIV strains closely resembling the wild type HIV. None of the drug regimens is to be excluded, so that chemotherapy can be initiated with a drug such as AZT, 3TC or others having a positive track record.

Example 11

An HIV infected patient with a therapy history including RT and protease inhibitors donated plasma whose susceptibility to a number of RT inhibitors and protease inhibitors was determined according to the protocol set out in Example 1. Recombinant wild type HIV strain recIIb was used as a reference HIV virus. Table 7 shows the IC<sub>50</sub> values (μM) measured and the ratio of said values. A bar graph showing relative change in drug susceptibility is shown in Fig. 11A. An Antivirogram was also prepared and is shown in Fig. 11B.



Table 7

Drug	Anti-HIV-1 activity IC <sub>50</sub> (μM)				
	Exp. 1	Exp. 2	Mean (1)	recIIIB ref (2)	ratio (1)/(2)
AZT	ND	0.015	0.015	0.047	0.3
3TC	> 100.000	93.962	96.981	5.178	19
saquinavir	0.014	0.015	0.014	0.012	1
ritonavir	1.198	1.739	1.468	0.062	24
indinavir	0.229	0.416	0.323	0.027	12

From these data one can determine that the patient is infected with a HIV strain displaying a decreased susceptibility towards the RT-inhibitor 3TC and protease inhibitors indinavir and ritonavir. Accordingly, chemotherapy can be adjusted with drugs such as AZT or saquinavir having a positive track record.

Example 12

An HIV infected patient with a therapy history including RT and protease inhibitors donated plasma whose susceptibility to a number of RT inhibitors and protease inhibitors was determined according to the protocol set out in Example 1. Table 8 shows the IC<sub>50</sub> values (μM) measured and the ratio of said values. A bar graph showing relative change in drug susceptibility is shown in Fig. 12A. An Antivirogram was also prepared and is shown in Fig. 12B.

Table 8

Drug	Anti-HIV-1 activity IC <sub>50</sub> (μM)				
	Exp. 1	Exp. 2	Mean (1)	recHIB ref (2)	ratio (1)/(2)
AZT	3.833	3.355	3.594	0.041	88
3TC	> 100.000	> 100.000	> 100.000	4.608	22
saquinavir	0.350	0.352	0.351	0.006	56
ritonavir	1.610	1.530	1.570	0.033	47
indinavir	0.124	ND	0.124	0.016	8

From these data one can determine that the patient is infected with a HIV strain displaying a decreased susceptibility towards RT-inhibitors 3TC and AZT and protease inhibitors indinavir, ritonavir and saquinavir.

5

Example 13Comparison of Phenotyping relative to Genotyping

Plasma samples were obtained from HIV-infected individuals who had been receiving non-nucleoside RT inhibitor (NNRTI) long-term monotherapy. HIV-RNA was extracted, reverse-transcribed and amplified as described in Example 1. Starting from outer PCR material of positive samples, the first 785 nucleotides of the RT gene were amplified and this material was further used for genotyping.

Briefly, the 785 nucleotide fragment was subjected to cycle-sequencing reactions using the ThermoSequenase (ThermoSequenase is a Trade Mark) fluorescent labelled primer cycle sequencing kit with 7-deaza-dGTP from Amersham (cat# RPN2438). Four sequencing primers, chosen to allow for sequence determination in both directions from nucleotide 27 to nucleotide 681 of the RT gene, were used for each sample. The reactions were analysed on an ALF (ALF is a Trade Mark) automatic sequencer (Pharmacia). The generated sequences were exported to a Power Macintosh and further analysed with the

GeneWorks 2.5 software (Oxford Molecular Group Inc.). Resulting amino acid sequences were compared with the corresponding sequence of the laboratory HIV-1 clone HXB2D and resistance-associated mutations identified in patient material. The results are shown in Table 9 where the one-letter amino acid code is used.

Table 9

P	RESISTANCE ASSOCIATED MUTATIONS													FOLD RESISTANCE TO			
	M 41	D 67	K 70	A 98	K 101	K 103	V 108	E 138	Y 181	M 184	G 190	T 215	K 219	AZT	3TC	NNRTI 1	NNRTI 2
1						N								1	1	56	437
2						S								1	0.4	102	53
3						N								0.4	1	36	87
4														0.3	0.1	1	0.4
5														1	0.4	4	3
6						N								1	0.3	103	245
7						S								1	0.04	112	57
8						N								1	1	30	81
9									C					2	1	>1432	12
10						N								0.4	0.1	53	172
11	L	N	R			N				V		F	Q	94	>8	321	669
12	L											Y		28	2	1	3
13					E	K/N		A			G/A		Not Det	1	1	>1466	455
14						N								1	1	93	349
15						N								2	1	>2424	449
16						N				V				1	>8	21	115
17						N								1	1	29	102
18						S								1	1	95	181
19						N								1	1	78	260
20				G										0.4	1	22	25
21						N								1	0.4	47	68
22							I							1	0.4	3	3
23						N								0.2	0.2	9	9
24					Q									1	1	7	59

P = Patient

The top row of Table 9 shows the aminoacids (AA) found in the wild type sequence and their position. Amino acids changes at these positions are shown for each patient in the following rows. Only the positions at which changes were observed in patient material are shown.

5 The right part of Table 9 presents the fold resistance to different RT inhibitors as determined by the method according to the invention for each of the patients' samples. NNRTI 1 is the non-nucleoside RT inhibitor that was administered to the patients. NNRTI 2 is another non-nucleoside RT inhibitor for which cross-resistance with the first one was

10 observed to some extent.

The genotyping results regarding nucleoside analog RT inhibitors resistance are as follows :

- 15 - M41L, D67N, K70R, T215F/Y and K219Q/E are AZT resistance-associated mutations (Larder, B. and Kemp, S. (1989) Science 246, 1155-1158; Kellam, P. *et al.* (1992) PNAS 89, 1934-1938). Their presence, individually or in different combinations, in the genome of HIV isolated from patient material correlates with the phenotypic resistance as determined by the Antivirogram generated (patients 11 and 12).
- 20 - The same applies to resistance to 3TC associated with the M184V mutation ( Tisdale, M. *et al.* (1993) PNAS 90, 5653-5656) which is observed only in the patients which show phenotypic resistance to the drug (patients 11 and 16).

The genotyping results regarding NNRTIs resistance are as follows :

- 25 - Three patients (3, 4 and 12) have no NNRTI resistance-associated mutation and are phenotypically sensitive to the drug.
- Most of the patients who show phenotypic resistance to the NNRTIs have a NNRTI resistance-associated mutation at position 103 (K103N/S).

- One patient (9) has the Y181C NNRTI resistance-associated mutation and shows a high phenotypic resistance (>1432 fold) to NNRTI 1.
- 5      - Patient 13 has several NNRTI resistance-associated mutations (K101E, K103N partially and G190A partially). This patient also shows a high phenotypic resistance (>1466 fold) to NNRTI 1. The E138A mutation observed in this sample is not associated so far with resistance. However, another mutation at this same position, i.e. E138K, has been demonstrated to play an important  
10      role in resistance to the TSAO compounds (Balzarini, J. *et al.* (1993) PNAS 90, 6952-9656). The role of the E138A mutation still needs to be assessed.
- Patient 20 has the A98G NNRTI resistance-associated mutation and shows phenotypic resistance to the tested NNRTIs.
- 15      - Patient 22 has the V108I NNRTI resistance-associated mutation but does not show any phenotypic resistance to the tested NNRTIs.
- 20      - Patient 24 shows no NNRTI resistance-associated mutation (the K101Q mutation is found in several HIV-1 wild type genomes) but is phenotypically resistant to the tested NNRTIs.

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(ii) TITLE OF INVENTION: Method of managing the chemotherapy of patients who are HIV positive based on the phenotypic drug sensitivity of human HIV strains

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## (2) INFORMATION FOR SEQ ID NO: 1:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 33 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: cDNA

(iii) HYPOTHETICAL: NO

## (vi) ORIGINAL SOURCE:

(A) ORGANISM: Human immunodeficiency virus type 1

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:

CATTGCTCTC CAATTACTGT GATATTTCTC ATG

33

## (2) INFORMATION FOR SEQ ID NO: 2:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 26 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: cDNA

## (iii) HYPOTHETICAL: NO

## (vi) ORIGINAL SOURCE:

(A) ORGANISM: Human immunodeficiency virus type 1

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

GGGAAGATCT GGCCTTCCTA CAAGGG

26

## (2) INFORMATION FOR SEQ ID NO: 3:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 24 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: cDNA

## (iii) HYPOTHETICAL: NO

## (vi) ORIGINAL SOURCE:

(A) ORGANISM: Human immunodeficiency virus type 1

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

GCCCCTAGGA AAAAGGGCTG TTGG

24

## (2) INFORMATION FOR SEQ ID NO: 4:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 24 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

- (ii) MOLECULE TYPE: cDNA

- (iii) HYPOTHETICAL: NO

- (vi) ORIGINAL SOURCE:

- (A) ORGANISM: Human immunodeficiency virus type 1

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:

TGAAAGATTG TACTGAGAGA CAGG

24

## (2) INFORMATION FOR SEQ ID NO: 5:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 24 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

- (ii) MOLECULE TYPE: cDNA

- (iii) HYPOTHETICAL: NO

- (vi) ORIGINAL SOURCE:

- (A) ORGANISM: Human immunodeficiency virus type 1

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:

GATATTTCTC ATGTTTCATCT TGGG

24

## (2) INFORMATION FOR SEQ ID NO: 6:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 24 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

- (ii) MOLECULE TYPE: cDNA

- (iii) HYPOTHETICAL: NO

- (vi) ORIGINAL SOURCE:

- (A) ORGANISM: Human immunodeficiency virus type 1

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:

AGGTGGCAGG TTAAAATCAC TAGC

24



**BELGIAN COORDINATED COLLECTIONS OF MICROORGANISMS - BCCM  
LMBP-COLLECTION**

Page 1 of Form BCCM/LMBP/BP/4/96-07

Receipt in the case of an original deposit

**Budapest Treaty on the International Recognition of the Deposit of Microorganisms for  
the Purposes of Patent Procedure**

**Receipt in the case of an original deposit issued pursuant to Rule 7.1 by the  
International Depositary Authority BCCM/LMBP identified at the bottom of next page**

***International Form BCCM/LMBP/BP/4/96-07***

To : Name of the depositor : VIRCO nv.

Address : Drie Eikenstraat, 661  
2650 Edegem

**I. Identification of the microorganism:**

**I.1 Identification reference given by the depositor:**

pGEMT3ΔPRT

**I.2 Accession number given by the International Depositary Authority:**

LMBP3590

**BELGIAN COORDINATED COLLECTIONS OF MICROORGANISMS - BCCM  
LMBP-COLLECTION**

Page 2 of Form BCCM/LMBP/BP/4/96-07

Receipt in the case of an original deposit

**II. Scientific description and/or proposed taxonomic designation**

The microorganism identified under I above was accompanied by:

(mark with a cross the applicable box(es)):

- ☒ a scientific description  
☐ a proposed taxonomic designation

**III. Receipt and acceptance**

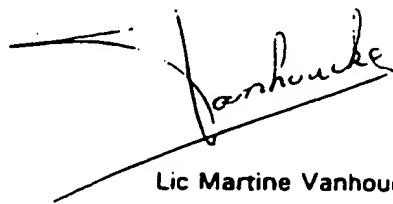
This International Depositary Authority accepts the microorganism identified under I above, which was received by it on (date of original deposit) : November 08, 1996

**IV International Depositary Authority**

Belgian Coordinated Collections of Microorganisms (BCCM)  
Laboratorium voor Moleculaire Biologie - Plasmidencollectie (LMBP)  
Universiteit Gent  
K.L. Ledeganckstraat 35  
B-9000 Gent, Belgium

Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s):

Date : November 19, 1996



Lic Martine Vanhoucke

Claims: -

1. A method of managing HIV chemotherapy of patients who are HIV positive, which comprises transfecting a cell line susceptible to infection by HIV with a sequence from the pol gene of HIV obtained from a patient and a HIV-DNA construct from which said sequence has been deleted, culturing said transfected cells so as to create a stock of chimeric viruses, assessing the phenotypic sensitivity of said chimeric viruses to an inhibitor of said enzyme encoded by the pol gene of HIV and assigning a value thereto, constructing a data set comprising said value for chimeric virus sensitivity and the corresponding value for a chimeric wild-type strain of HIV, repeating the sensitivity assessment for at least two further inhibitors and thereby constructing at least three such data sets in total, representing said data sets in two dimensional or three dimensional graphical form such that the difference between the chimeric and wild-type sensitivities in the case of each data set provides a visual measure of the resistance of the chimeric stock to treatment by the inhibitor in question, and selecting the optimum inhibitor(s) on the basis of the graphical representation of the resistances so measured.
2. A method of managing HIV chemotherapy according to Claim 1, wherein the data sets are represented on a polygonal or quasi-circular graph comprising:
  - (a) a plurality of normalised axes extending radially from an origin, each axis corresponding to one data set or inhibitor or combination thereof;
  - (b) the axes being normalised such that the sensitivity values for wild-type HIV for the various inhibitors are equal on each axis, the data points for wild-type HIV being optionally represented and connected to form a regular polygon whose vertices lie on the axes and whose center is defined by the origin;

- 5 (c) on each axis a data point representing the sensitivity value of the chimeric HIV stock against the inhibitor corresponding to said axis is plotted, the chimeric data points being optionally connected to form a regular or irregular polygon the shape of which represents the resistance of the chimeric stock to a range of inhibitors.

3. A method according to Claim 2, wherein each axis has a logarithmic scale.

- 10 4. A method according to Claim 2 or 3, wherein eccentric data points in the chimeric polygon, if represented, identify inhibitors whose usefulness can be assumed to be of little or no benefit to the patient, while data points lying within, on or close outside the wild-type polygon identify inhibitors whose usefulness can be assumed to be of substantial benefit to the patient.

- 15 5. A method according to any preceding claim, wherein each of said three or more data sets further comprises a value for worst-case measurable resistance for the inhibitor in question, said worst case values being represented on said graphical representations such that the data point for the chimeric stock can be compared both to wild-type and  
20 to worst-case HIV, thereby providing an assessment of the relative value of the inhibitor in a particular case.

6. A method of managing HIV chemotherapy of patients who are HIV positive, which comprises the steps of:

- 25 (a) periodically assessing the phenotypic sensitivity of a patient's HIV strains according to any one of Claims 1-5;
- (b) maintaining the chemotherapy with the selected inhibitor while the patient's HIV strains remain susceptible to the selected chemotherapy;

- (c) selecting a different inhibitor if and when the susceptibility of the original inhibitor decreases.

5           7.     A clinical management device for use in the management of chemotherapy of patients who are HIV positive, said device bearing a graphical representation of a plurality of data sets as defined in Claim 1.

          8.     A method according to any one of Claims 1-6, wherein the phenotypic sensitivity of said chimeric viruses to inhibitors of at least two enzymes encoded by the pol gene of HIV is simultaneously assessed.

10           9.     A method of determining the phenotypic drug sensitivity of individual HIV strains in a patient to inhibitors of at least two enzymes encoded by the pol gene of HIV, which comprises transfecting a cell line susceptible to infection by HIV with a sequence from the pol gene of HIV obtained from a patient and a HIV-DNA construct from which said  
15           sequence has been deleted, culturing said transfected cells so as to create a stock of chimeric viruses and assessing the phenotypic sensitivity of said chimeric viruses to inhibitors of said enzymes encoded by the pol gene of HIV.

20           10.    A method according to any one of Claims 1-6, 8 and 9, wherein said sequence from the pol gene is isolated from a sample of a biological material obtained from the patient whose phenotypic drug sensitivity is being determined.

25           11.    A method according to Claim 10, wherein said biological material is selected from plasma, serum or a cell-free body fluid selected from semen and vaginal fluid.

          12.    A method according to Claim 10, wherein the biological material is whole blood to which an RNA stabiliser has been added.

13. A method according to Claim 10, wherein the biological material is tissue material selected from brain tissue or lymph nodal tissue.

5 14. A method according to any one of Claims 9-13, wherein the at least two enzymes are selected from HIV RT, protease and integrase.

15. A method according to any one of Claims 1-6 and 8-14, wherein the cell line susceptible to infection by HIV is a CD4<sup>+</sup> T-cell line.

10 16. A method according to Claim 15, wherein the CD4<sup>+</sup> T-cell line is the MT4 cell line or the HeLa CD4<sup>+</sup> cell line.

17. A method according to any one of Claims 1-6 and 8-16, wherein the desired region of the patient's pol gene is reverse transcribed using a specific downstream primer.

15 18. A method according to Claim 17, wherein the sequence to be reverse transcribed is that coding for reverse transcriptase and protease.

19. A method according to Claim 18, wherein the downstream primer is OUT3 : 5'-CAT TGC TCT CCA ATT ACT GTG ATA TTT CTC ATG-3' (SEQ ID NO: 1).

20 20. A method according to any one of Claims 17-19, wherein the product of reverse transcription is amplified using a nested PCR technique.

25 21. A method according to any one of Claims 1-6 and 8-20, wherein the HIV-DNA construct is one from which the RT and protease genes are deleted and is the plasmid pGEMT3-ΔPRT as deposited at the Belgian Coordinated Collections of Microorganisms-BCCM LMBP-Collection on November 8, 1996 under the number LMBP3590.

22. A method according to any one of Claims 1-6 and 8-21, wherein the transfection is achieved by electroporation.

23. A method according to any one of Claims 1-6 and 8-21, wherein the transfection is achieved by the use of cationic lipids.

5           24. A method according to any one of Claims 1-6 and 8-23, wherein the phenotypic drug sensitivity of the chimeric viruses to different RT, protease and integrase inhibitors is assessed in an automated cellular-based assay.

10           25. A method according to any one of Claims 1-6 and 8-24, wherein the phenotypic drug sensitivity of the chimeric viruses and of the wild HIV strain to one or more RT, protease or integrase inhibitor(s) is expressed as an inhibitory concentration (IC value).

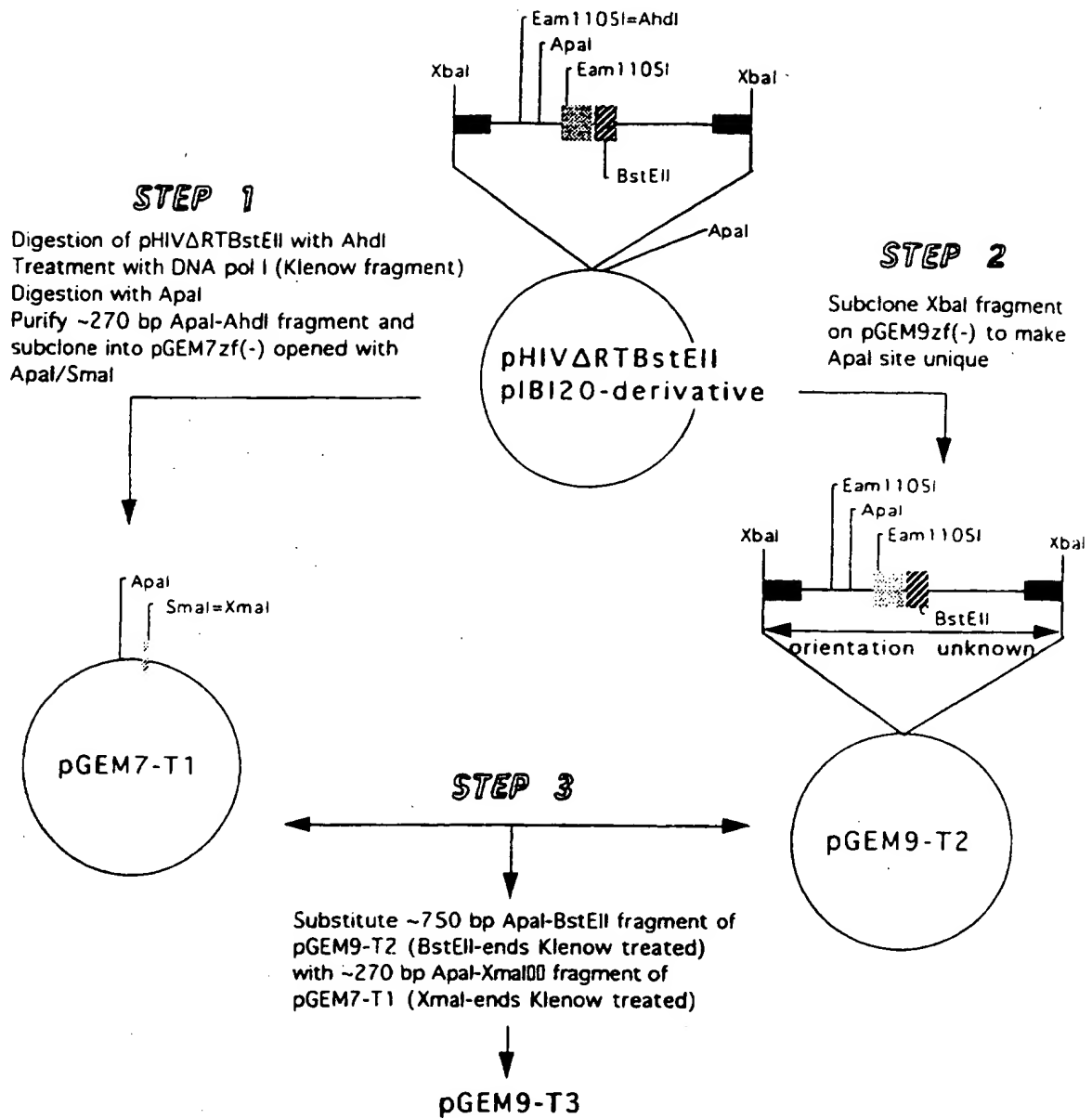
15           26. A method according to any one of Claims 1-6 and 8-25, wherein RT inhibitors are selected from nucleoside RT inhibitors such as AZT, ddI, ddC, 3TC, d4T, non-nucleoside RT inhibitors such as loviride, nevirapine and zidovudine, protease inhibitors such as saquinavir, indinavir and zalcitabine and integrase inhibitors such as caffeic acid phenylethyl ester (CAPE).

20           27. A method of managing HIV chemotherapy of patients who are HIV positive, substantially as hereinbefore described and exemplified.

28. A clinical management device, substantially as hereinbefore described and exemplified with particular reference to and as illustrated in Figs. 5-12 of the accompanying drawings.

25           29. A method of determining the phenotypic drug sensitivity of individual HIV strains in a patient to inhibitors of at least two enzymes encoded by the pol gene of HIV, substantially as hereinbefore described and exemplified.

Fig. 1





5'-GACNNN/NNGTC (AhdI recognition sequence and cleavage site)  
5'-GACCCC/TCGTC (AhdI site at the beginning of the protease coding region)

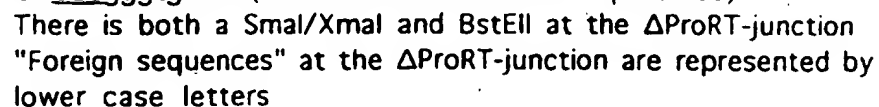
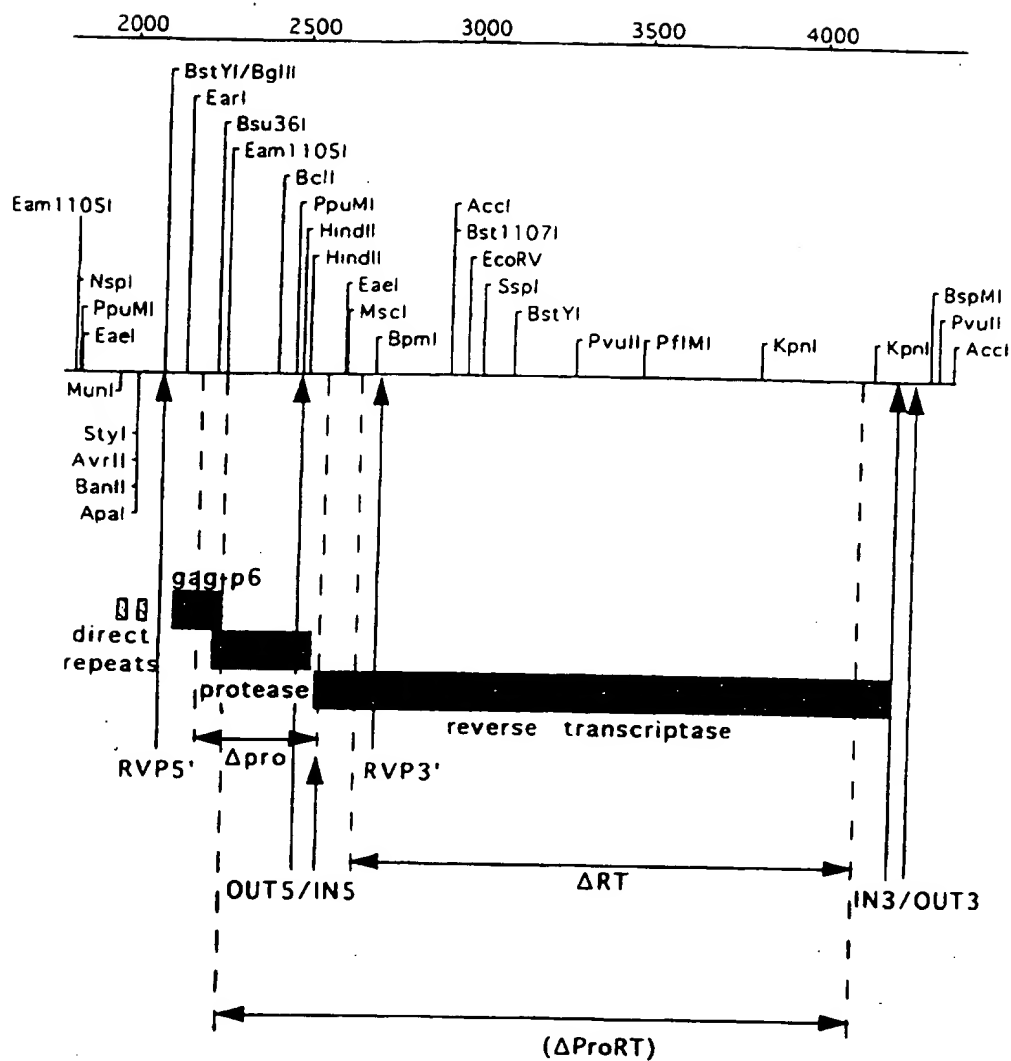


Fig. 3



## HXB2 (sequence range: 1800 to 4400)

Fig. 4A

```

                                >NspI
                                |
                                >Eam1105I
                                |
1800      1810      1820      1830      1840
      .      .      .      .      .
      GGA CCA GCG GCT ACA CTA GAA GAA ATG ATG ACA GCA TGT CAG
      G  P  A  A  T  L  E  E  M  M  T  A  C  Q
      _a_a_a_a_a_GAG POLYPROTEIN_a_a_a_a_a_

                                >PpuMI
                                |
                                >EaeI
                                |
1850      1860      1870      1880
      .      .      .      .
      GGA GTA GGA GGA CCC GGC CAT AAG GCA AGA GTT TTG GCT GAA
      G  V  G  G  P  G  H  K  A  R  V  L  A  E
      _a_a_a_a_a_GAG POLYPROTEIN_a_a_a_a_a_

1890      1900      1910      1920
      .      .      .      .
      GCA ATG AGC CAA GTA ACA AAT TCA GCT ACC ATA ATG ATG CAG
      A  M  S  Q  V  T  N  S  A  T  I  M  M  Q
      _a_a_a_a_a_GAG POLYPROTEIN_a_a_a_a_a_

                                >MunI
                                |
1930      1940      1950      1960
      .      .      .      .
      AGA GGC AAT TTT AGG AAC CAA AGA AAG ATT GTT AAG TGT TTC
      R  G  N  F  R  N  Q  R  K  I  V  K  C  F
      _a_a_a_a_a_GAG POLYPROTEIN_a_a_a_a_a_

                                >BanII
                                |
                                >ApaI
                                |
1970      1980      1990      2000
      .      .      .      .
      AAT TGT GGC AAA GAA GGG CAC ACA GCC AGA AAT TGC AGG GCC
      N  C  G  K  E  G  H  T  A  R  N  C  R  A
      _a_a_a_a_a_GAG POLYPROTEIN_a_a_a_a_a_
      <-----direct repeat#1 ----->

>AvrII
|
>StyI
|
2010      2020      2030      2040      2050
      .      .      .      .      .
      CCT AGG AAA AAG GGC TGT TGG AAA TGT GGA AAG GAA GGA CAC
      P  R  K  K  G  C  W  K  C  G  K  E  G  H
      _a_a_a_a_a_GAG POLYPROTEIN_a_a_a_a_a_
      <-----direct repeat#2 ----->

2060      2070      2080      2090
      .      .      .      .
      CAA ATG AAA GAT TGT ACT GAG AGA CAG GCT AAT TTT TTA GGG
      Q  M  K  D  C  T  E  R  Q  A  N  F  L  G
      _a_a_a_a_a_GAG POLYPROTEIN_a_a_a_a_a_
      <----->

```

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Fig. 4B

```

>EglII
|
>BstYI
|
2100      2110      2120      2130
AAG ATC TGG CCT TCC TAC AAG GGA AGG CCA GGG AAT TTT CTT
K   I   W   P   S   Y   K   G   R   P   G   N   F   L
_ _ a _ _ a _ _ a _ _ GAG POLYPROTEIN _ a _ _ a _ _ a _ _
_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _
L

----- RVP5 ----->

>EarI
|
2140      2150      2160      2170
CAG AGC AGA CCA GAG CCA ACA GCC CCA CCA GAA GAG AGC TTC
Q   S   R   P   E   P   T   A   P   P   E   E   S   F
_ _ a _ _ a _ _ a _ _ GAG POLYPROTEIN _ a _ _ a _ _ a _ _
Q   S   R   P   E   P   T   A   P   P   E   E   S   F
_ _ b _ _ b _ _ b _ _ GAG P6 (52 AA) _ b _ _ b _ _ b _ _

2180      2190      2200      2210
AGG TCT GGG GTA GAG ACA ACA ACT CCC CCT CAG AAG CAG GAG
R   S   G   V   E   T   T   T   P   P   Q   K   Q   E
_ _ a _ _ a _ _ a _ _ GAG POLYPROTEIN _ a _ _ a _ _ a _ _
R   S   G   V   E   T   T   T   P   P   Q   K   Q   E
_ _ b _ _ b _ _ b _ _ GAG P6 (52 AA) _ b _ _ b _ _ b _ _

>Bsu36I
|
2220      2230      2240      2250      2260
CCG ATA GAC AAG GAA CTG TAT CCT TTA ACT TCC CTC AGG TCA
P   I   D   K   E   L   Y   P   L   T   S   L   R   S
_ _ a _ _ a _ _ a _ _ GAG POLYPROTEIN _ a _ _ a _ _ a _ _
P   I   D   K   E   L   Y   P   L   T   S   L   R   S
_ _ b _ _ b _ _ b _ _ GAG P6 (52 AA) _ b _ _ b _ _ b _ _
_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _
P   Q   V
_ _ c _ _ c _ _ c _ _

|---->ΔPro

>Eam1105I
|
2270      2280      2290      2300
CTC TTT GGC AAC GAC CCC TCG TCA CAA TAA AG ATA GGG GGG
L   F   G   N   D   P   S   S   Q   *
_ _ a _ _ a _ _ GAG POLYPROTEIN _ a _ _ a _ _ a _ _
L   F   G   N   D   P   S   S   Q
_ _ b _ _ b _ _ GAG P6 (52 AA) _ b _ _ b _ _
T   L   W   Q   R   P   L   V   T   I   K   I   G   G
_ _ c _ _ c _ _ c _ _ c _ _ c _ _ c _ _ c _ _ c _ _ c _ _
_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _
|---->ΔProRT (Tibotec)

2310      2320      2330      2340
CAA CTA AAG GAA GCT CTA TTA GAT ACA GGA GCA GAT GAT ACA
Q   L   K   E   A   L   L   D   T   G   A   D   D   T
_ _ c _ _ c _ _ c _ _ c _ _ c _ _ c _ _ c _ _ c _ _ c _ _

```

**Fig. 4C**

2350 . 2360 . 2370 . 2380 .

GTA TTA GAA GAA ATG AGT TTG CCA GGA AGA TGG AAA CCA AAA  
V L E E M S L P G R W K P K  
\_ \_ c \_ \_ c \_ \_ c \_ \_ c PROTEASE \_ \_ c \_ \_ c \_ \_ c \_ \_ c

>BclI

2390 . 2400 . 2410 . 2420 .

ATG ATA GGG GGA ATT GGA GGT TTT ATC AAA GTA AGA CAG TAT  
M I G G I G G F I K V R Q Y  
\_ \_ c \_ \_ c \_ \_ c \_ \_ c PROTEASE \_ \_ c \_ \_ c \_ \_ c \_ \_ c

2430 . 2440 . 2450 . 2460 . 2470 .

GAT CAG ATA CTC ATA GAA ATC TGT GGA CAT AAA GCT ATA GGT  
D Q I L I E I C G H K A I G  
\_ \_ c \_ \_ c \_ \_ c \_ \_ c PROTEASE \_ \_ c \_ \_ c \_ \_ c \_ \_ c

<

>PpuMI                      >HindII

2480 . 2490 . 2500 . 2510 .

ACA GTA TTA GTA GGA CCT ACA CCT GTC AAC ATA ATT GGA AGA  
T V L V G P T P V N I I G R  
\_ \_ c \_ \_ c \_ \_ c \_ \_ c PROTEASE \_ \_ c \_ \_ c \_ \_ c \_ \_ c

-----  
OUT5 ----->

>HindIII

2520 . 2530 . 2540 . 2550 .

AAT CTG TTG ACT CAG ATT GGT TGC ACT TTA AAT TTT CCC ATT  
N L L T Q I G C T L N F  
\_ \_ c \_ \_ c \_ \_ c \_ \_ c PROTEASE \_ \_ c \_ \_ c \_ \_ c \_ \_ c

P I  
\_\_\_\_\_d\_\_\_\_\_  
<----->

2560 . 2570 . 2580 . 2590 .

AGC CCT ATT GAG ACT GTA CCA GTA AAA TTA AAG CCA GGA ATG  
S P I E T V P V K L K P G M  
\_ d \_ d \_ d REVERSE TRANSCRIPTASE \_ d \_ d \_ d \_ d \_

-----  
IN5 ----->  
ΔPro ---->|

>MscI  
|  
>EaeI  
||

2600 . 2610 . 2620 . 2630 .

GAT GGC CCA AAA GTT AAA CAA TGG CCA TTG ACA GAA GAA AAA  
D G P K V K Q W P L T E E K  
\_ d \_ d \_ d REVERSE TRANSCRIPTASE \_ d \_ d \_ d \_ d \_

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Fig. 4D

```

2640      2650      2660      2670      2680
ATA AAA GCA TTA GTA GAA ATT TGT ACA GAG ATG GAA AAG GAA
I   K   A   L   V   E   I   C   T   E   M   E   K   E
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d
|---->ΔRT

                                >BpmI
                                |
      2690      2700      2710      2720
GGG AAA ATT TCA AAA ATT GGG CCT GAA AAT CCA TAC AAT ACT
G   K   I   S   K   I   G   P   E   N   P   Y   N   T
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

      2730      2740      2750      2760
CCA GTA TTT GCC ATA AAG AAA AAA GAC AGT ACT AAA TGG AGA
P   V   F   A   I   K   K   K   D   S   T   K   W   R
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

      2770      2780      2790      2800
AAA TTA GTA GAT TTC AGA GAA CTT AAT AAG AGA ACT CAA GAC
K   L   V   D   F   R   E   L   N   K   R   T   Q   D
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

      2810      2820      2830      2840
TTC TGG GAA GTT CAA TTA GGA ATA CCA CAT CCC GCA GGG TTA
F   W   E   V   Q   L   G   I   P   H   P   A   G   L
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

2850      2860      2870      2880      2890
AAA AAG AAA AAA TCA GTA ACA GTA CTG GAT GTG GGT GAT GCA
K   K   K   K   S   V   T   V   L   D   V   G   D   A
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

                                >Bst1107I
                                |
                                >AccI
                                ||
      2900      2910      2920      2930
TAT TTT TCA GTT CCC TTA GAT GAA GAC TTC AGG AAG TAT ACT
Y   F   S   V   P   L   D   E   D   F   R   K   Y   T
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

      2940      2950      2960      2970
GCA TTT ACC ATA CCT AGT ATA AAC AAT GAG ACA CCA GGG ATT
A   F   T   I   P   S   I   N   N   E   T   P   G   I
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

>EcoRV
|
      2980      2990      3000      3010
AGA TAT CAG TAC AAT GTG CTT CCA CAG GGA TGG AAA GGA TCA
R   Y   Q   Y   N   V   L   P   Q   G   W   K   G   S
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

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Fig. 4E

```

>SspI
3020      3030      3040      3050
CCA GCA ATA TTC CAA AGT AGC ATG ACA AAA ATC TTA GAG CCT
P  A  I  F  Q  S  S  M  T  K  I  L  E  P
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

3060      3070      3080      3090      3100
TTT AGA AAA CAA AAT CCA GAC ATA GTT ATC TAT CAA TAC ATG
F  R  K  Q  N  P  D  I  V  I  Y  Q  Y  M
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

>BstYI
3110      3120      3130      3140
GAT GAT TTG TAT GTA GGA TCT GAC TTA GAA ATA GGG CAG CAT
D  D  L  Y  V  G  S  D  L  E  I  G  Q  B
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

3150      3160      3170      3180
AGA ACA AAA ATA GAG GAG CTG AGA CAA CAT CTG TTG AGG TGG
R  T  K  I  E  E  L  R  Q  H  L  L  R  W
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

3190      3200      3210      3220
GGA CTT ACC ACA CCA GAC AAA AAA CAT CAG AAA GAA CCT CCA
G  L  T  T  P  D  K  K  H  Q  K  E  P  P
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

3230      3240      3250      3260
TTC CTT TGG ATG GGT TAT GAA CTC CAT CCT GAT AAA TGG ACA
F  L  W  M  G  Y  E  L  H  P  D  K  W  T
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

>PvuII
3270      3280      3290      3300      3310
GTA CAG CCT ATA GTG CTG CCA GAA AAA GAC AGC TGG ACT GTC
V  Q  P  I  V  L  P  E  K  D  S  W  T  V
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

3320      3330      3340      3350
AAT GAC ATA CAG AAG TTA GTG GGG AAA TTG AAT TGG GCA AGT
N  D  I  Q  K  L  V  G  K  L  N  W  A  S
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

3360      3370      3380      3390
CAG ATT TAC CCA GGG ATT AAA GTA AGG CAA TTA TGT AAA CTC
Q  I  Y  P  G  I  K  V  R  Q  L  C  K  L
_d _d _d _d REVERSE TRANSCRIPTASE _d _d _d _d

```

Fig. 4F

```

      3400      3410      3420      3430
      .
      .
      .
CTT AGA GGA ACC AAA GCA CTA ACA GAA GTA ATA CCA CTA ACA
L  R  G  T  K  A  L  T  E  V  I  P  L  T
__d__d__d__ REVERSE TRANSCRIPTASE __d__d__d__d__

      3440      3450      3460      3470
      .
      .
      .
GAA GAA GCA GAG CTA GAA CTG GCA GAA AAC AGA GAG ATT CTA
E  E  A  E  L  E  L  A  E  N  R  E  I  L
__d__d__d__ REVERSE TRANSCRIPTASE __d__d__d__d__

      >PFI MI
      |
3480      3490      3500      3510      3520
      .
      .
      .
AAA GAA CCA GTA CAT GGA GTG TAT TAT GAC CCA TCA AAA GAC
K  E  P  V  H  G  V  Y  Y  D  P  S  K  D
__d__d__d__ REVERSE TRANSCRIPTASE __d__d__d__d__

      3530      3540      3550      3560
      .
      .
      .
TTA ATA GCA GAA ATA CAG AAG CAG GGG CAA GGC CAA TGG ACA
L  I  A  E  I  Q  K  Q  G  Q  G  Q  W  T
__d__d__d__ REVERSE TRANSCRIPTASE __d__d__d__d__

      3570      3580      3590      3600
      .
      .
      .
TAT CAA ATT TAT CAA GAG CCA TTT AAA AAT CTG AAA ACA GGA
Y  Q  I  Y  Q  E  P  F  K  N  L  K  T  G
__d__d__d__ REVERSE TRANSCRIPTASE __d__d__d__d__

      3610      3620      3630      3640
      .
      .
      .
AAA TAT GCA AGA ATG AGG GGT GCC CAC ACT AAT GAT GTA AAA
K  Y  A  R  M  R  G  A  H  T  N  D  V  K
__d__d__d__ REVERSE TRANSCRIPTASE __d__d__d__d__

      3650      3660      3670      3680
      .
      .
      .
CAA TTA ACA GAG GCA GTG CAA AAA ATA ACC ACA GAA AGC ATA
Q  L  T  E  A  V  Q  K  I  T  T  E  S  I
__d__d__d__ REVERSE TRANSCRIPTASE __d__d__d__d__

3690      3700      3710      3720      3730
      .
      .
      .
GTA ATA TGG GGA AAG ACT CCT AAA TTT AAA CTG CCC ATA CAA
V  I  W  G  K  T  P  K  F  K  L  P  I  Q
__d__d__d__ REVERSE TRANSCRIPTASE __d__d__d__d__

      3740      3750      3760      3770
      .
      .
      .
AAG GAA ACA TGG GAA ACA TGG TGG ACA GAG TAT TGG CAA GCC
K  E  T  W  E  T  W  W  T  E  Y  W  Q  A
__d__d__d__ REVERSE TRANSCRIPTASE __d__d__d__d__

      3780      3790      3800      3810
      .
      .
      .
ACC TGG ATT CCT GAG TGG GAG TTT GTT AAT ACC CCT CCC TTA
T  W  I  P  E  W  E  F  V  N  T  P  P  L
__d__d__d__ REVERSE TRANSCRIPTASE __d__d__d__d__

```



**Fig. 4G**

**ΔProRT (Tibotec) -->**

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Fig. 4H

```

      4200      4210      4220      4230
      .         .         .         .
GAT AAA TTA GTC AGT GCT GGA ATC AGG AAA GTA CTA TTT TTA
D  K  L  V  S  A  G  I  R  K  V  L  F  L
...d  d  d  ... REVERSE TRANSCRIPTASE ...d  d  d  d...
      <----- IN3 ----->

      4240      4250      4260      4270      4280
      .         .         .         .         .
GATGGA ATAGATAAGG CCCAAGATGA ACATGAGAAA TATCACAGTA
----->                               <----- OUT3 ---

                                     >BspMI
                                     |
      4290      4300      4310      4320      4330
      .         .         .         .         .
ATTGGAGAGC AATGGCTAGT GATTTTAACC TGCCACCTGT AGTAGCAAAA
----->

                                     >PvuII
                                     |
      4340      4350      4360      4370      4380
      .         .         .         .         .
GAAATAGTAG CCAGCTGTGA TAAATGTCAG CTAAAAGGAG AAGCCATGCA

                                     >AccI
                                     |
      4390      4400
      .         .
TGGACAAGTA GACTGTAGTC
```

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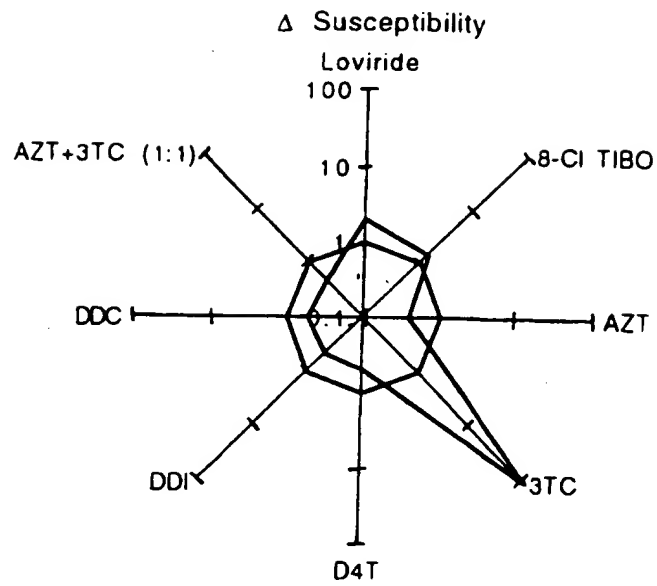


Fig. 5

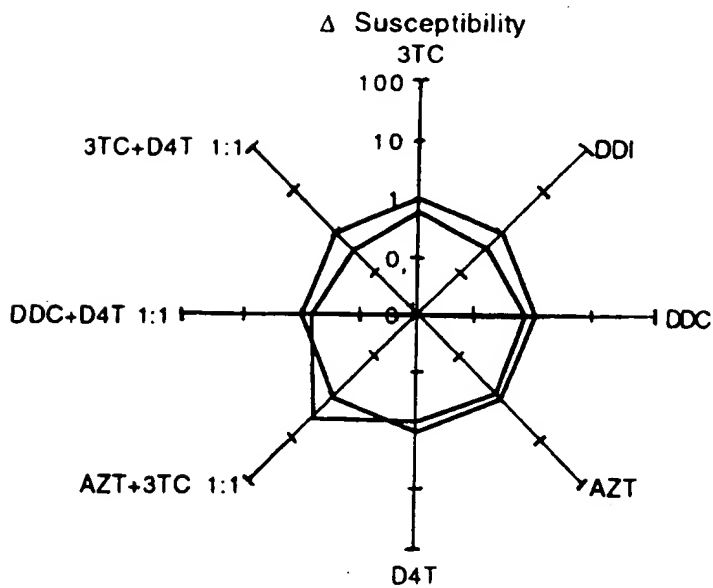


Fig. 6

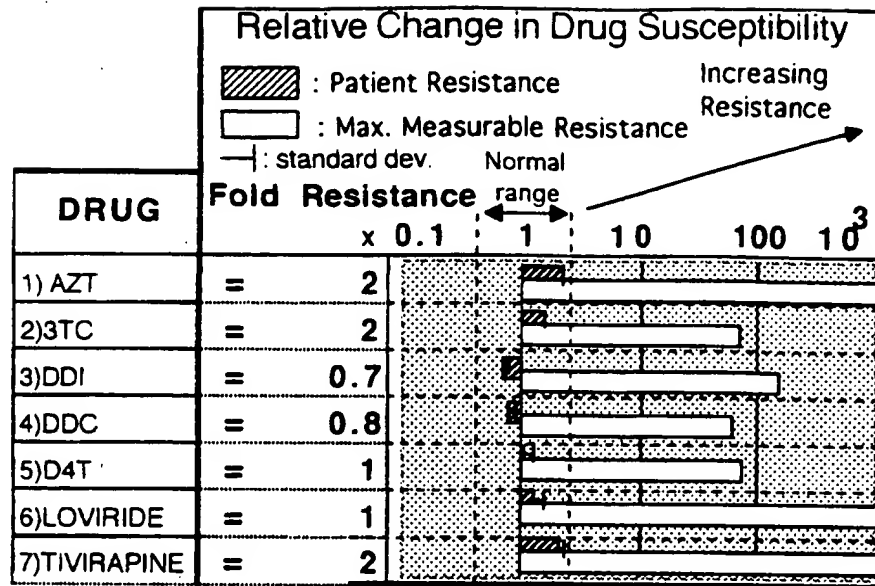


Fig. 7A

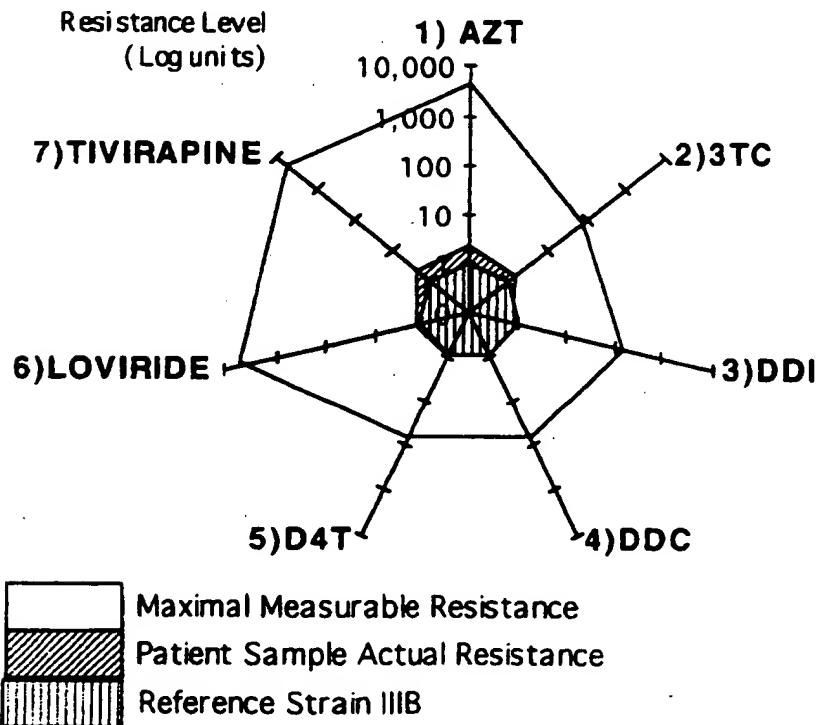


Fig. 7B

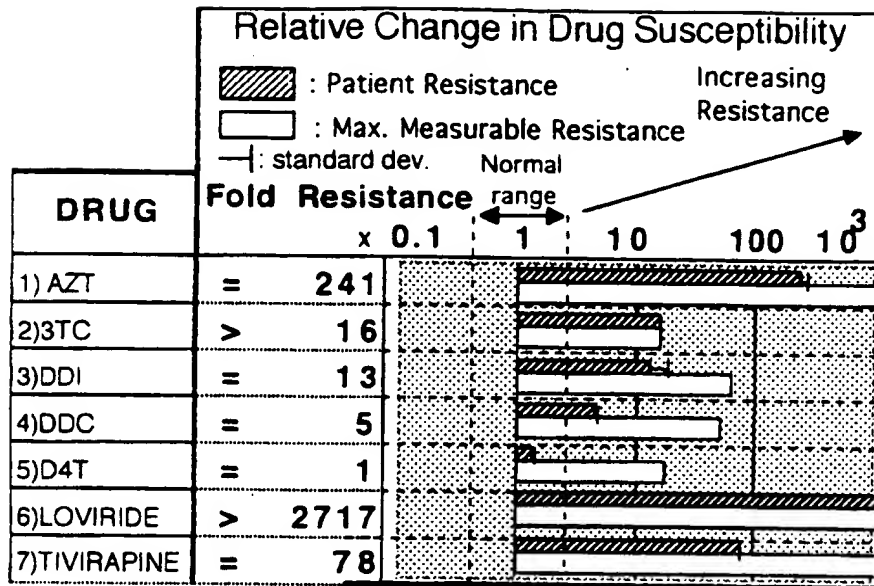


Fig. 8A

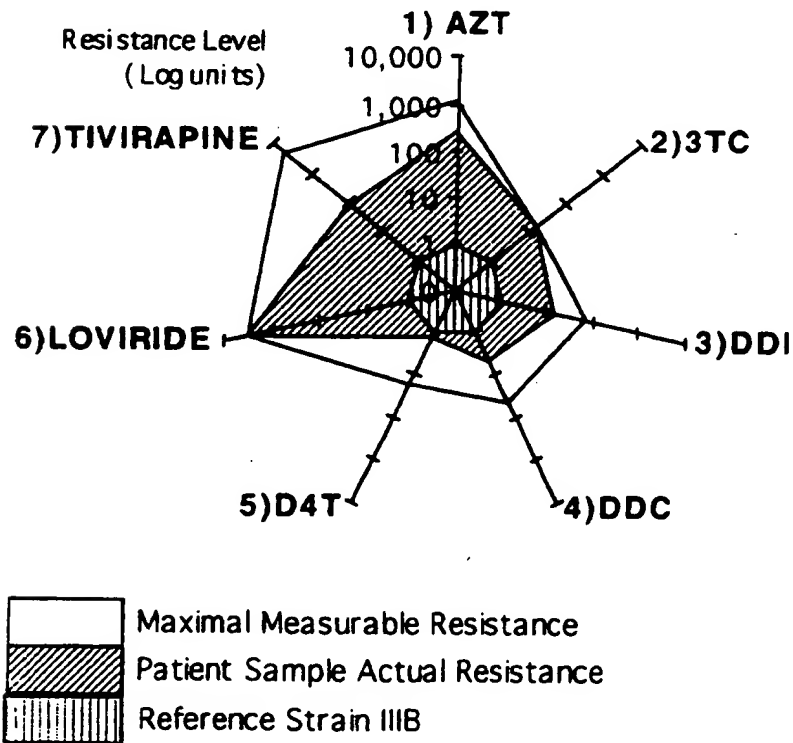


Fig. 8B

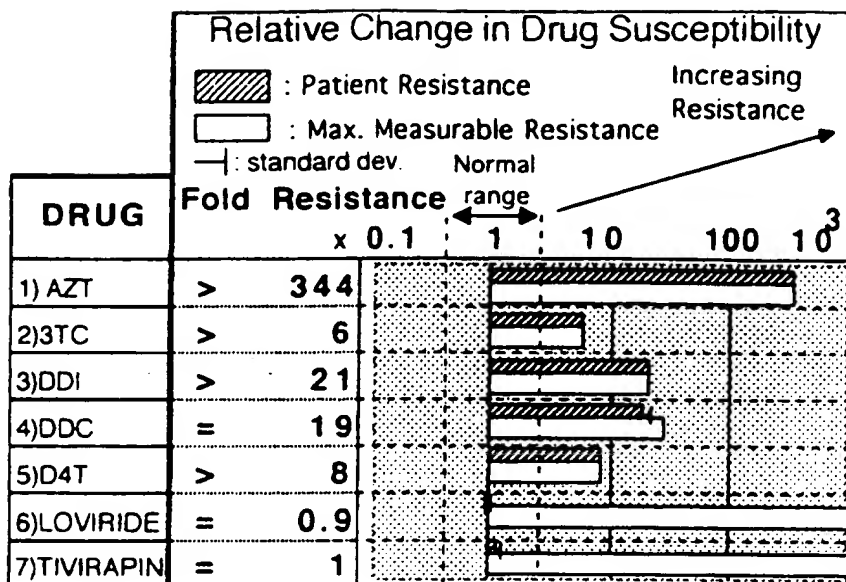


Fig. 9A

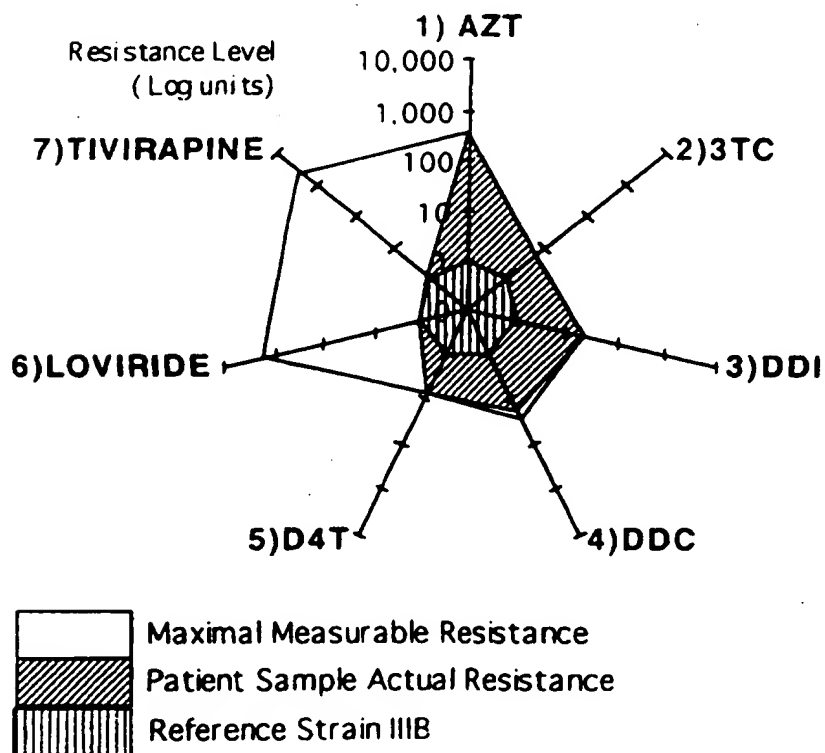


Fig. 9B

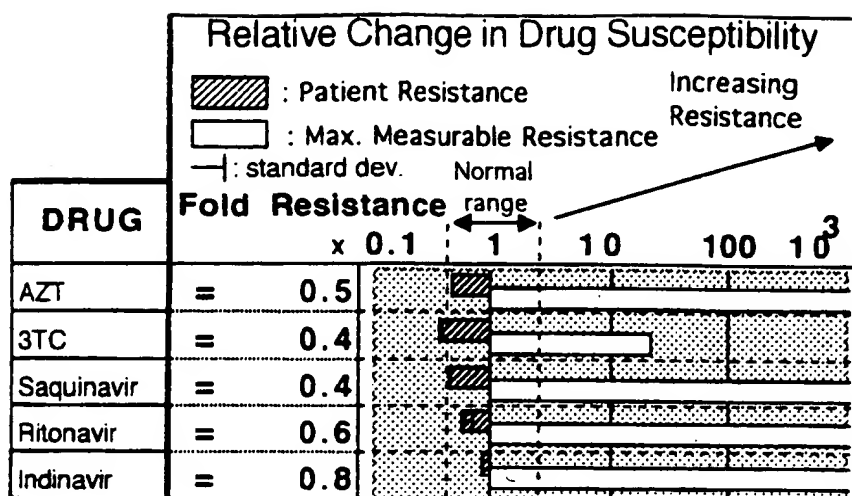


Fig. 10A

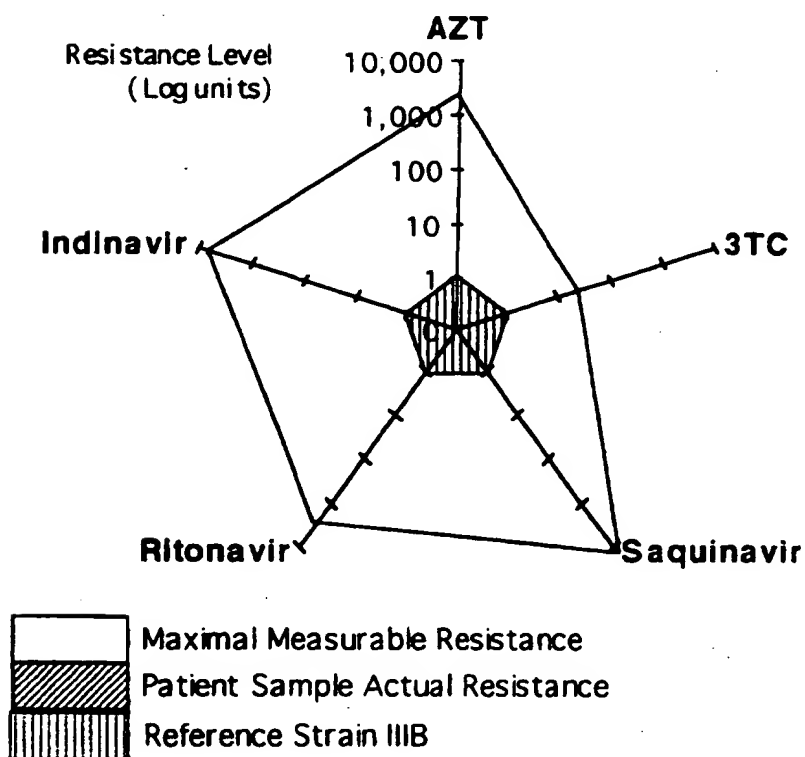


Fig. 10B

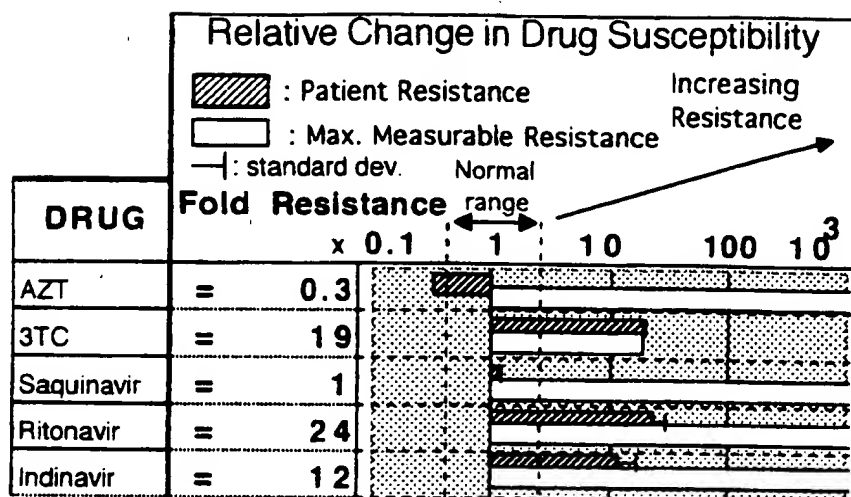


Fig. 11A

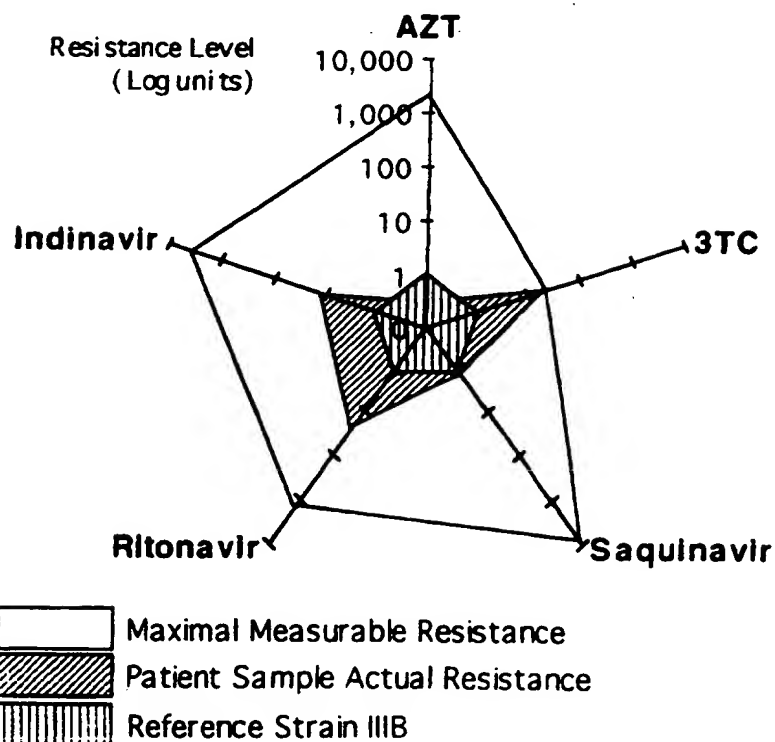


Fig. 11B



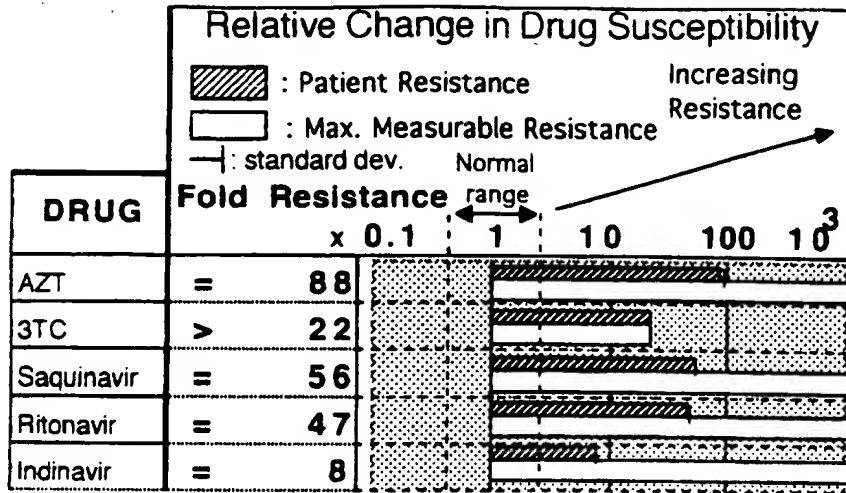


Fig. 12A

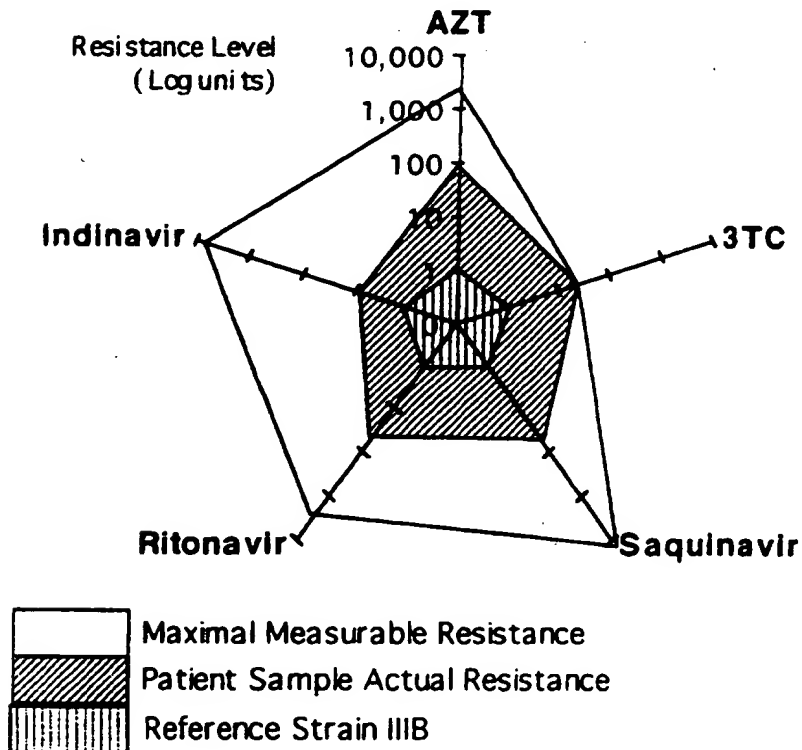


Fig. 12B

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 G01N33/50

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 G01N C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 3 875 396 A (WEBB STEPHEN R) 1 April 1975 see figure 1	7
A,P	WD 96 08580 A (SEPRACOR INC ;MELNICK LAURENCE M (US); HEEFNER DONALD L (US)) 21 March 1996 see the whole document	1-29

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

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- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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- \*&\* document member of the same patent family

Date of the actual completion of the international search

23 June 1997

Date of mailing of the international search report

24.06.97

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Authorized officer

Hoekstra, S

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 3875396 A	01-04-75	NONE	
WO 9608580 A	21-03-96	AU 3635295 A CA 2199805 A	29-03-96 21-03-96